NOMURA HOLDINGS INC Form 6-K February 12, 2003 Table of Contents

# FORM 6-K

# U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# Report of Foreign Private Issuer Pursuant To Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

Commission File Number: <u>1-15270</u>

For the month of February 2003.

Total number of pages: 6.

The exhibit index is located on page 2.

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

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## Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.
Form 20-F x Form 40-F "
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):
Indicate by check mark whether by furnishing the information contained in this Form, the registrant is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.
Yes " No x
If Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-

Information furnished on this form:

## **EXHIBIT**

Exhibit Number Page Number

1. [English Translation of Report on Corporate Stock Repurchase] 4

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### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

By: /s/ Masanori

Itatani

Masanori Itatani

Director

Date: February 12, 2003

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(English Translation)

# **Report on Corporate Stock Repurchase**

(report pursuant to Article 24-6, paragraph 1 of Securities and Exchange Law)

#### Director General of Kanto Finance Bureau

February 10, 2003

Nomura Holdings, Inc.

President & CEO Junichi Ujiie

Address of Principal Office: 9-1, Nihonbashi 1-chome, Chuo-ku, Tokyo, JAPAN

TEL: 81-3-5255-1000

Contact Person: Nomura Holdings, Inc.

General Manager of General Affairs Dept. Katsuo Tsunematsu

Address of Nearest Contact: Same as the above

TEL: Same as the above

Contact Person: Same as the above

Locations where Copies of Report of Corporate Stock Repurchase are Available for Public Inspection

#### Name

Tokyo Stock Exchange, Inc.
Osaka Securities Exchange Co., Ltd.
Nagoya Stock Exchange Co., Ltd.
Osaka Branch of Nomura Securities Co., Ltd.
Nagoya Branch of Nomura Securities Co., Ltd.
Yokohama Branch of Nomura Securities Co., Ltd.
Kobe Branch of Nomura Securities Co., Ltd.
Chiba Branch of Nomura Securities Co., Ltd.

#### Address

2-1, Kabutocho, Nihonbashi, Chuo-ku, Tokyo 1-6-10, Kitahama, Chuo-ku, Osaka 3-3-17, Sakae, Naka-ku, Nagoya 2-5-4, Kitahama, Chuo-ku, Osaka 2-19-22, Nishiki, Naka-ku, Nagoya 4-52, Onoecho, Naka-ku, Yokohama 1-5-32, Sannomiyacho, Chuo-ku, Kobe 1-14-13, Fujimi, Cho-ku, Chiba

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(Note) Although the Securities and Exchange Law does not require us to keep the report for public inspection at Osaka, Nagoya, Yokohama and Kobe Branches of Nomura Securities Co., Ltd., we will do so for investors convenience.

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#### <u>Class of Shares</u> Common Stock

- State of Corporate Stock Repurchase
  - (1) State of Repurchase in accordance with the Resolution at Annual General Meeting of Shareholders ( AGM )

as of January 31, 2003

Section	Number of Shares		<b>Total Value of Shares</b>	Remarks
Resolution at AGM (Resolution on June 26, 2002)		100,000,000 shares	250,000,000,000 yen	(Note)
Stock repurchase during this reporting month (Date of Stock		, ,	· · · · · ·	
Repurchase)	Jan. 7	127,000 shares	171,323,000 yen	
	Jan. 8	1,539,000 shares	2,062,043,000 yen	
	Jan. 9	592,000 shares	780,746,000 yen	
	Jan. 10	739,000 shares	988,621,000 yen	
Aggregate number of shares repurchased as of the end of this				
reporting month		20,016,000 shares	26,919,637,000 yen	
Progress with stock repurchase		20.0%	10.8%	

(Note) The ratio of (i) the total number of shares for authorized for repurchase at the annual general meeting of shareholders pursuant to Article 210, paragraph 1 under the Commercial Code divided by (ii) the total number of outstanding shares as of the date of closing of the annual general meeting of shareholders is 5.1%.

(2) Repurchase from Subsidiaries

Not applicable.

(3) Repurchase for Retirement of Shares by Valuation Allowance

Not applicable.

2. Disposition of Shares Repurchased

Not applicable.

(English Translation)

3. State of Possession of Shares Repurchased

as of January 31, 2003

Section	Number of Shares	Remarks		
<del></del>				
Total number of outstanding shares	1,965,919,860 shares			
Number of shares repurchased and currently possessed	20,016,000 shares			
Number of shares repurchased and currently possessed for retirement of shares by valuation				
allowance				

(End)

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