

BROOKS MIKE
Form SC 13G/A
February 09, 2007

Table of Contents

OMB APPROVAL
OMB Number: 3235-0145
Expires: February 28, 2009
Estimated average burden
hours per response...10.4

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

SCHEDULE 13G

**Under the Securities Exchange Act of 1934
(Amendment No. 13)***

Rocky Brands, Inc.
(Name of Issuer)

Common stock, without par value
(Title of Class of Securities)

774830 10 3

(CUSIP Number)

December 31, 2006

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☐ Rule 13d-1(b)

☐ Rule 13d-1(c)

☒ Rule 13d-1(d)

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

Table of Contents

CUSIP No. 774830 10 3

Page 2

NAMES OF REPORTING PERSONS:

1 Mike Brooks

I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY):

N/A

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS):

2
(a) ☐
(b) ☐

SEC USE ONLY:

3

CITIZENSHIP OR PLACE OF ORGANIZATION:

4
United States

SOLE VOTING POWER:

5
NUMBER OF 374,082

SHARED VOTING POWER:

SHARES
BENEFICIALLY **6**
OWNED BY 0

SOLE DISPOSITIVE POWER:

EACH
REPORTING **7**
PERSON 374,082

SHARED DISPOSITIVE POWER:

WITH: **8**
0

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON:

9

374,082

CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):

10

b

Mr. Brooks expressly disclaims beneficial ownership of 360 shares held by his spouse.

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9):

11

6.8%

TYPE OF REPORTING PERSON (SEE INSTRUCTIONS):

12

IN

TABLE OF CONTENTS

Item 1

Item 2

Item 3

Item 4. Ownership

Item 5. Ownership of Five Percent or Less of a Class

Item 6. Ownership of More than Five Percent on Behalf of Another Person

Item 7. Identification and Classification of the Subsidiary which Acquired the Security Being Reported
on by the Parent Holding Company

Item 8. Identification and Classification of Members of the Group

Item 9. Notice of Dissolution of Group

Item 10. Certification

SIGNATURES

Table of Contents

CUSIP No. 774830 10 3

Page 3

Item 1.

(a) Name of Issuer: Rocky Brands, Inc.

(b) Address of Issuer's Principal Executive Offices: 39 East Canal Street, Nelsonville, Ohio 45764

Item 2.

(a) Name of Person Filing: Mike Brooks

(b) Address of Principal Business Office, or, if none, Residence:

Rocky Brands, Inc.

39 East Canal Street

Nelsonville, Ohio 45764

(c) Citizenship: The person filing is a citizen of the United States of America.

(d) Title of Class of Securities: Common Stock, without par value.

(e) CUSIP Number: 774830 10 3

Item 3.

Not applicable.

Item 4. Ownership

(a) Amount Beneficially Owned: 374,082 shares

(b) Percent of Class: 6.8%

(c) Number of Shares as to which the person has:

(i) Sole power to vote or to direct the vote: 374,082 shares

(ii) Shared power to vote or to direct the vote: 0 shares

(iii) Sole power to dispose or to direct the disposition of: 374,082 shares

(iv) Shared power to dispose or to direct the disposition of: 0 shares

Table of Contents

CUSIP No. 774830 10 3

Page 4

Item 5. Ownership of Five Percent or Less of a Class.

Not applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable.

Item 7. Identification and Classification of the Subsidiary which Acquired the Security Being Reported on by the Parent Holding Company.

Not applicable.

Item 8. Identification and Classification of Members of the Group.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

Item 10. Certification.

Not applicable.

Table of Contents

CUSIP No. 774830 10 3

Page 5

SIGNATURES

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 9, 2007

/s/ Mike Brooks

Mike Brooks