NEIGHBORCARE INC Form 8-K December 05, 2003

# **UNITED STATES**

# **SECURITIES AND EXCHANGE COMMISSION**

### Washington, DC 20549

### FORM 8-K

### CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 4, 2003

# NEIGHBORCARE, INC.

(Exact name of registrant as specified in its charter)

Pennsylvania

0-33217

06-1132947

(State or other jurisdiction of incorporation) (Commission File Number) (I.R.S. Employer Identification No.)

7 East Lee Street, Baltimore, MD 21202

(Address of principal executive offices/Zip Code)

Registrant's telephone number, including area code: 410-752-2600

Genesis Health Ventures, Inc.

(Former name or former address, if changed since last report)

#### **Item 7. Financial Statements and Exhibits**

- (a) Financial Statements None
- (b) Pro-forma Financial Information None
- (c) Exhibits The following exhibits are filed herewith:

Exhibit Number	Description
99.1	Memorandum to Executive Officers and Directors.

#### Item 11. Temporary Blackout of Trading Under Registrant's Employee Benefit Fund

On December 1, 2003, NeigborCare, Inc. (the "Company") spun-off its subsidiary Genesis HealthCare Corporation ("GHC") as a separate public company (the "Spin-off"). On November 24, 2003, the Company notified employees that it will prevent those participants in the Company's Retirement Plan (the "Retirement Plan"), who will cease being participants in Retirement Plan as a result of the Spin-off, from transactions in the Company's common stock. The reason for the blackout period is to permit the transfer of plan accounts of those participants who will cease being participants in the Retirement Plan and who will become participants in the GHC 401(k) Plan to the GHC 401(k) Plan.

During the blackout period, participants in the Retirement Plan will not be able to purchase or otherwise acquire or sell or otherwise dispose of interests in the shares of the Company's common stock. Participants will not be able to make changes in investment elections or deferral percentages in the Retirement Plan or request loans or distributions.

The blackout period will begin on December 24, 2003 at 3:00 p.m. (eastern standard time) and end on January 7, 2004, when the transfer of the accounts is expected to be completed.

On December 4, 2003, the Company notified its directors and executive officers of the blackout period and that, pursuant to the Sarbanes-Oxley Act of 2002 and the SEC's Regulation BTR, they are prohibited from engaging in transactions during the blackout period involving any equity security of the Company acquired in connection with their service or employment as a director or executive officer of the Company. A copy of this notice is attached to this Form 8-K as Exhibit 99.1.

In accordance with the SEC's rules and regulations, including the Regulation BTR, this blackout period is separate from, and in addition to, any other restrictions on trading Company equity securities currently applicable to the Company's directors and officers.

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: December 4, 2003

NEIGHBORCARE, INC.

By: /s/ John J. Arlotta

Name: John J. Arlotta Title: Chief Executive Officer