

Edgar Filing: GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC - Form 4

GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC

Form 4

December 30, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*

Roeder, Werner J.

2. Issuer Name and Ticker or Trading Symbol

Gabelli Convertible & Income Sec. Fund/GCV

6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

Director       10% owner

Officer (give  Other (specify  
title below) below)

(Last)                      (First)                      (Middle)

ONE CORPORATE CENTER

3. I.R.S Identification

Number of Reporting  
Person, if an entity  
(Voluntary)

4. Statement for  
Month/Day/Year

Dec. 17, 2002

(Street)

RYE                                      NY                                      10580-1422

5. If Amendment,

Date of  
Original  
Month/Day/Year

Dec. 20, 2002

7. Individual or Joint/Group Filing  
(Check Applicable Line)

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Form filed by One Reporting Person  
 Form filed by More than One Reporting Person  
(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security  
(Instr. 3)

2.  
Trans-  
action  
Date

2A.  
Deemed  
Execu-  
tion  
Date,  
if any

3. Trans-  
action  
Code  
(Instr  
.. 8)

4. Securities Acquired (A)  
or Disposed of (D)  
(Instr. 3, 4 and 5)

5. Amount of  
Securities  
Beneficially  
Owned  
Following  
Reported  
Transaction (s)

(Instr. 3 and  
4)

6. Owner-  
ship  
Form:  
Direct  
(D) or  
Indirect  
(I)  
(Instr. 4)

7. Nature  
of In-  
direct  
Bene-  
ficial  
Owner-  
Ship  
(Instr.  
4)

(Month/  
Day/  
Year)

Code

V

Amount

(A) or  
(D)

Price

Common Stock, Par Value \$0.001

12/17/0

2

X

6,332(1)

A

\$8.00

25,328

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or

\* If the form is filed by more than one reporting person, See Instruction 4(b)(v).

FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1.  
Title of  
Derivative  
Security  
(Instr. 3)

2.  
Conve  
r-  
sion  
or  
Exerc  
ise  
Price  
of  
Deriv  
-  
ative  
Secur  
ity

3.  
Trans-  
Action  
Date

(Month/D  
ay/  
Year)

3A.  
Deemed  
Execu-  
tion  
Date, if  
any  
(Month/D  
ay/  
Year)

4.  
Trans-  
action

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Code

(Instr.  
8)  
5.  
Number of  
Derivative  
Securities  
Acquired  
(A)  
Or Disposed  
Of (D)

(Instr. 3,  
4 and 5)  
6.  
Date Exer-  
cisable and  
Expiration  
Date

(Month/Day/  
Year)  
7.  
Title and  
Amount of  
Underlying  
Securities

8.  
Price  
of Deriv-  
ative  
Security

(Instr.  
5)  
9.  
Number  
of Deriv-  
ative  
Secur-  
ities  
Bene-  
ficially  
Owned  
Following  
Reported  
Transaction  
(s)

(Instr. 4)  
10.  
Owner-  
ship Form  
Of De-  
rivative  
Security:  
Direct  
(D) or  
Indirect  
(I)

(Instr. 4)  
11.  
Nature  
of In-  
direct  
Bene-  
ficial  
Owner-  
Ship

(Instr.  
4)

Code

V

(A  
)

(D)  
Date  
Exer-  
cisable  
Expira-  
tion  
Date  
Title  
Amount  
or  
Number  
of  
Shares

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Purchase  
Rights  
\$8.00  
12/17/02

X

18,996  
11/14/0  
2  
12/17/0  
2  
Common  
Stock  
6,332

0  
D





Explanation of Responses:

(1) Represents shares received in the primary subscription in connection with the issuer's rights offering.

/s/ Bruce N. Alpert

12/30/02

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.

If space provided is insufficient, see Instruction 6 for procedure.

\*\* Signature of Reporting Person

Werner J. Roeder

By: Bruce N. Alpert

Attorney-in-Fact

Date

