

SCHWAB CHARLES R  
Form 4  
February 12, 2007

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SCHWAB CHARLES R

2. Issuer Name and Ticker or Trading Symbol  
SCHWAB CHARLES CORP  
[SCHW]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/09/2007

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman and CEO

C/O THE CHARLES SCHWAB CORPORATION, 120 KEARNY STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

SAN FRANCISCO, CA 94108

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	02/09/2007		S	1,400	D \$ 19.31	152,606,562	I by Trust
Common Stock	02/09/2007		S	1,700	D \$ 19.32	152,604,862	I by Trust
Common Stock	02/09/2007		S	500	D \$ 19.33	152,604,362	I by Trust
Common Stock	02/09/2007		S	2,200	D \$ 19.34	152,602,162	I by Trust
	02/09/2007		S	3,100	D	152,599,062	I by Trust

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Common Stock						\$ 19.35			
Common Stock	02/09/2007	S	13,559	D	\$ 19.36	152,585,503	I		by Trust
Common Stock	02/09/2007	S	5,800	D	\$ 19.37	152,579,703	I		by Trust
Common Stock	02/09/2007	S	2,000	D	\$ 19.38	152,577,703	I		by Trust
Common Stock	02/09/2007	S	1,600	D	\$ 19.39	152,576,103	I		by Trust
Common Stock	02/09/2007	S	245	D	\$ 19.4	152,575,858	I		by Trust
Common Stock	02/09/2007	S	900	D	\$ 19.41	152,574,958	I		by Trust
Common Stock	02/09/2007	S	2,100	D	\$ 19.42	152,572,858	I	(1)	by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
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Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Code V	(A) (D)		

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director      10% Owner      Officer      Other

SCHWAB CHARLES R  
C/O THE CHARLES SCHWAB CORPORATION  
120 KEARNY STREET  
SAN FRANCISCO, CA 94108

X

X

Chairman  
and CEO

## Signatures

Jane E. Fry,  
Attorney-in-fact

02/12/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

As of the date of this report, the reporting person also had a direct beneficial ownership interest in 9,927,495 shares and an indirect  
(1) beneficial ownership interest in 42,853,958 shares held by an LLC, 7,841,450 shares held by his spouse and 1,744,792 shares held by an ESOP.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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