

DATAWATCH CORP
Form 4
November 09, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
G2 Investment Partners Management LLC

(Last) (First) (Middle)

ONE ROCKEFELLER PLAZA,
23RD FLOOR

(Street)

NEW YORK, NY 10020

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
DATAWATCH CORP [DWCH]

3. Date of Earliest Transaction
(Month/Day/Year)

11/07/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

___ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/07/2016	11/07/2016	P		2,100	A	\$ 6.19 (2)	1,189,568	I	See Footnote (1)
Common Stock	11/07/2016	11/07/2016	S		1,150	D	\$ 6.15	1,188,418	I	See Footnote (1)
Common Stock	11/08/2016	11/08/2016	P		12,900	A	\$ 6.26 (3)	1,201,318	I	See Footnote (1)
Common Stock	11/08/2016	11/08/2016	S		2,600	D	\$ 6.27	1,198,718	I	See Footnote

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Common Stock	11/08/2016	11/08/2016	P	100	A	⁽⁴⁾ \$ 6.45	1,198,818	I	⁽¹⁾ See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	S	500	D	\$ 6.18 ⁽⁵⁾	1,198,318	I	See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	P	200	A	\$ 6.25 ⁽⁶⁾	1,198,518	I	See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	S	1,600	D	\$ 6.05	1,196,918	I	See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	P	3,678	A	\$ 6.19 ⁽⁷⁾	1,200,596	I	See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	S	2,700	D	\$ 6.15 ⁽⁸⁾	1,197,896	I	See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	P	13,800	A	\$ 6.19 ⁽⁹⁾	1,211,696	I	See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	S	400	D	\$ 6.15 ⁽¹⁰⁾	1,211,296	I	See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	P	4,800	A	\$ 6.25 ⁽¹¹⁾	1,216,096	I	See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	S	3,900	D	\$ 6.32 ⁽¹²⁾	1,212,196	I	See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	P	8,400	A	\$ 6.44 ⁽¹³⁾	1,220,596	I	See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	S	8,100	D	\$ 6.36 ⁽¹⁴⁾	1,212,496	I	See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	P	14,500	A	\$ 6.36 ⁽¹⁵⁾	1,226,996	I	See Footnote <u>(1)</u>
Common Stock	11/08/2016	11/08/2016	S	1,200	D	\$ 6.3 ⁽¹⁶⁾	1,225,796	I	See Footnote <u>(1)</u>

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Common Stock	11/08/2016	11/08/2016	P	23,600	A	\$ 6.39 (17)	1,249,396	I	See Footnote (1)
Common Stock	11/08/2016	11/08/2016	S	8,900	D	\$ 6.45 (18)	1,240,496	I	See Footnote (1)
Common Stock	11/08/2016	11/08/2016	P	8,000	A	\$ 6.46 (19)	1,248,496	I	See Footnote (1)
Common Stock	11/08/2016	11/08/2016	S	3,924	D	\$ 6.41 (20)	1,244,572	I	See Footnote (1)
Common Stock	11/08/2016	11/08/2016	P	25,100	A	\$ 6.51 (21)	1,269,672	I	See Footnote (1)
Common Stock	11/08/2016	11/08/2016	S	200	D	\$ 6.6	1,269,472	I	See Footnote (1)
Common Stock	11/08/2016	11/08/2016	P	6,200	A	\$ 6.54 (22)	1,275,672	I	See Footnote (1)
Common Stock	11/08/2016	11/08/2016	S	8,000	D	\$ 6.5 (23)	1,267,672	I	See Footnote (1)
Common Stock	11/08/2016	11/08/2016	P	1,300	A	\$ 6.33 (24)	1,268,972	I	See Footnote (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3 and 4)
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The reported price is the weighted average purchase price for purchases on November 8, 2016 by the Reporting Persons. The actual prices of such purchases ranged from \$6.50 to \$6.60. The Reporting Persons undertake to provide, upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased at each separate price.

- (23) The reported price is the weighted average sale price for sales on November 8, 2016 by the Reporting Persons. The actual prices of such sales ranged from \$6.45 to \$6.55. The Reporting Persons undertake to provide, upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

- (24) The reported price is the weighted average purchase price for purchases on November 8, 2016 by the Reporting Persons. The actual prices of such purchases ranged from \$6.40 to \$6.45. The Reporting Persons undertake to provide, upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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