#### **GATZKE CARLA S**

Form 4 August 24, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading **GATZKE CARLA S** Symbol DAKTRONICS INC /SD/ [DAKT]

(Last) (First) (Middle)

201 DAKTRONICS DRIVE

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 08/23/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

Secretary and VP of Human Reso

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**BROOKINGS, SD 57006** 

(City)	(State) (Z	Zip) Table	e I - Non-D	erivative :	Secur	ities Acc	quired, Disposed	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	08/23/2018		M	550	A	\$ 0 (1)	436,578	D	
Common Stock	08/23/2018		M	550	A	\$ 0 (1)	437,128	D	
Common Stock	08/23/2018		M	550	A	\$ 0 (1)	437,678	D	
Common Stock	08/23/2018		M	550	A	\$ 0 (1)	438,228	D	
Common Stock	08/23/2018		M	550	A	\$ 0 (1)	438,778	D	

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Common Stock	08/23/2018	F	128	D	\$ 7.48	438,650	D
Common Stock	08/23/2018	F	128	D	\$ 7.48	438,522	D
Common Stock	08/23/2018	F	128	D	\$ 7.48	438,394	D
Common Stock	08/23/2018	F	128	D	\$ 7.48	438,266	D
Common Stock	08/23/2018	F	128	D	\$ 7.48	438,138	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		Expiration D	Date Exercisable and piration Date onth/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	08/23/2018		M	550	(2)	(2)	Common Stock	550	\$ (
Restricted Stock Units	\$ 0	08/23/2018		M	550	(3)	<u>(3)</u>	Common Stock	550	\$ (
Restricted Stock Units	\$ 0	08/23/2018		M	550	<u>(4)</u>	<u>(4)</u>	Common Stock	550	\$ (
Restricted Stock Units	\$ 0	08/23/2018		M	550	<u>(5)</u>	<u>(5)</u>	Common Stock	550	\$ (
	\$ 0	08/23/2018		M	550	<u>(6)</u>	(6)		550	\$ (

Restricted Common Stock Units

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GATZKE CARLA S 201 DAKTRONICS DRIVE BROOKINGS, SD 57006

Secretary and VP of Human Reso

## **Signatures**

Carla S. Gatzke 08/24/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On August 23, 2018, 550 of the reporting persons restricted stock units vested, 422 shares of Common Stock were delivered, and 128 shares of Common Stock were withheld by the Company to satisfy income tax withholding obligation.
- (2) Restricted Stock Units granted on 08/22/2013 vest 20% each year for five years beginning on 08/23/2014. Vested shares will be delivered to the reporting person as soon as practicable after the date of vesting.
- (3) Restricted Stock Units granted on 09/04/2014 vest 20% each year for five years beginning on 08/23/2015. Vested shares will be delivered to the reporting person as soon as practicable after the date of vesting.
- (4) Restricted Stock Units granted on 09/03/2015 vest 20% each year for five years beginning on 08/23/2016. Vested shares will be delivered to the reporting person as soon as practicable after the date of vesting.
- (5) Restricted Stock Units granted on 09/01/2016 vest 20% each year for five years beginning on 08/23/2017. Vested shares will be delivered to the reporting person as soon as practicable after the date of vesting.
- (6) Restricted Stock Units granted on 08/31/2017 vest 20% each year for five years beginning on 08/23/2018. Vested shares will be delivered to the reporting person as soon as practicable after the date of vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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