Edgar Filing: Aunins John G. - Form 4

Aunins John (Form 4 September 19											
FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							ERSHIP OF Act of 1934,	Number: 3235-0287 Expires: January 31 2005 Estimated average burden hours per response 0.5			
(Print or Type Ro	-	ing Dama	*				5	Delationship of I	Domostin a Domos	an(a) to	
Aunins John G. Symbol			I				5. Relationship of Reporting Person(s) to Issuer				
				f Earliest Transaction Day/Year)				(Check all applicable) DirectorX Officer (give title Other (specify below) See Remarks			
CAMBRIDG	(Street) E, MA 0213	9		endment, Dat onth/Day/Year)	e Original		A _2 	Individual or Joi pplicable Line) K_ Form filed by Ou _ Form filed by Mo erson	ne Reporting Per	son	
(City)	(State)	(Zip)	Tab	ole I - Non-Do	erivative S	ecurit	ies Acquii	ed, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed Execution Date, if any (Month/Day/Year)			Code	4. Securit or Dispos (Instr. 3, 4	ed of	(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
COMMON STOCK	05/15/2018			Code V S (1)	Amount 14,279	(D) D	Price \$ 8.0818 (2)	146,648	D		
COMMON STOCK	08/15/2018			S <u>(1)</u>	14,279	D	\$ 7.5684	132,369	D		
COMMON STOCK	09/17/2018			S <u>(1)</u>	14,279	D	\$ 7.2747 (4)	118,090	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative		· · ·		Securities			(Instr.	. 3 and 4)		Owne
	Security				Acquired				,		Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						(IIISti
					(insu: 5, 4, and 5)						
					4, and <i>5</i>)						
									Amount		
									or		
						Date	Expiration	Title	Number		
						Exercisable	Date	11110	of		
				Code V	(A) (D)				Shares		
					(\mathbf{D})				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
reporting owner rune / runess	Director	10% Owner	Officer	Other			
Aunins John G. C/O SERES THERAPEUTICS, INC. 200 SIDNEY STREET CAMBRIDGE, MA 02139			See Remarks				
Signatures							
/s/ Thomas J. DesRosier, Attorney-in-Fact		09/19/2018					
<u>**Signature of Reporting Person</u>		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in the Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 15, 2018.

(2) The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$7.8800 to \$8.1400. The Reporting Person undertakes to provide upon request to the SEC staff, the Issuer, or any stockholder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote. This filing is being reported late due to an inadvertent administrative error.

(3) The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$7.2500 to \$7.7600. The Reporting Person undertakes to provide upon request to the SEC staff, the Issuer, or any stockholder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote. This filing is being

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The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$7.0914(4) to \$7.5600. The Reporting Person undertakes to provide upon request to the SEC staff, the Issuer, or any stockholder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Remarks:

Chief Technology Officer and EVP

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.