Louis Berkman Investment CO

Form 4

February 09, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

\$ 11.5 1,417,760

\$ 11.6 1,416,507

1,416,887

1,416,407

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

COMMON

COMMON

COMMON

STOCK

STOCK

STOCK

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Louis Berkman Investment CO Issuer Symbol AMPCO PITTSBURGH CORP [AP] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner _ Other (specify Officer (give title 600 GRANT STREET, SUITE 3230 02/08/2018 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

PITTSBURGH, PA 15219-2704

02/08/2018

02/08/2018

02/08/2018

02/08/2018

(City)	(State) (Zi	Table 1	I - Non-Dei	rivative So	ecurit	ies Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	02/08/2018	02/08/2018	S <u>(1)</u>	100	D	\$ 11.35	1,418,660	D	
COMMON STOCK	02/08/2018	02/08/2018	S <u>(1)</u>	500	D	\$ 11.45	1,418,160	D	

400

873

380

100

D

D

D

D

11.55

 $S_{(1)}^{(1)}$

 $S^{(1)}$

 $S^{(1)}$

 $S^{(1)}$

02/08/2018

02/08/2018

02/08/2018

02/08/2018

D

D

D

D

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COMMON STOCK						\$ 11.65	
COMMON STOCK	02/08/2018	02/08/2018	S(1)	166	D	\$ 11.7 1,416,241	D
COMMON STOCK	02/08/2018	02/08/2018	S(1)	200	D	\$ 11.75 1,416,041	D
COMMON STOCK	02/08/2018	02/08/2018	S(1)	600	D	\$ 11.8 1,415,441	D
COMMON STOCK	02/08/2018	02/08/2018	S <u>(1)</u>	1,970	D	\$ 1,413,471	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Louis Berkman Investment CO 600 GRANT STREET, SUITE 3230 PITTSBURGH, PA 15219-2704		X					

Signatures

/s/Jennifer L. Gloff,

Secretary 02/09/2018

Reporting Owners 2

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transactions reported in this Form 4 were effected pursuant to a Rule 10B5-1 trading plan adopted by the reporting person on (1) December 27, 2017 (the "Plan"). The Plan was adopted to allow members of the Berkman family to satisfy liquidity and diversification
- (1) December 27, 2017 (the "Plan"). The Plan was adopted to allow members of the Berkman family to satisfy liquidity and diversification objectives.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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