Global Water Resources, Inc. Form 3/A May 05, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Bowers Cindy M

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/27/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Global Water Resources, Inc. [GWRS]

C/O GLOBAL WATER

RESOURCES, INC., Â 21410 N

19TH AVENUE #220

(Street)

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

04/27/2016

(Check all applicable)

\_X\_ Director Officer (give title below) (specify below)

10% Owner Other

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

PHOENIX. AZÂ 85027

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

5. Conversion or Exercise

Price of

6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5) Derivative

Expiration Exercisable Date

Title

Amount or Number of

Security: Derivative Security Direct (D)

## Edgar Filing: Global Water Resources, Inc. - Form 3/A

Shares or Indirect
(I)
(Instr. 5)

Stock Option (Right to Buy)  $\stackrel{(1)}{=}$   $\hat{A} \stackrel{(1)}{=}$  06/23/2018  $\stackrel{Common}{Stock}$  431  $\stackrel{(2)}{=}$  \$870.66 D  $\hat{A}$ 

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Bowers Cindy M

C/O GLOBAL WATER RESOURCES, INC.

 X Â Â

C/O GLOBAL WATER RESOURCES, INC. 21410 N 19TH AVENUE #220 PHOENIX, AZÂ 85027

# **Signatures**

/s/ Joanne Ellsworth, attorney-in-fact 05/05/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock option is immediately exercisable.
- Due to a clerical error, these securities owned by the reporting person as of April 27, 2016 were omitted from the reporting person's original Form 3 filed on April 27, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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