### Edgar Filing: PENNANTPARK INVESTMENT CORP - Form 4

#### PENNANTPARK INVESTMENT CORP

Form 4

November 30, 2015

| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | STATEMENT  Filed pursuant to Section 17(a) of the 30(            | ES SECURITIES A Washington OF CHANGES IN SECUI O Section 16(a) of the Public Utility Hol h) of the Investment                                  | BENEFICIARITIES  ne Securities Iding Compan   | AL OWN  Exchange by Act of | NERSHIP OF e Act of 1934, f 1935 or Section  | OMB<br>Number:<br>Expires:<br>Estimated a<br>burden hou<br>response     |   |  |
|---|--|--|---|----------------------------|--|---|---|--|
| 1. Name and Addres Flug Jeffrey   | onses) ss of Reporting Person *                                  | 2. Issuer Name <b>and</b> Symbol PENNANTPAR CORP [PNNT]  |   | _                          | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)  |   |   |  |
| (Last)  C/O PENNANT INVESTMENT MADISON AVI FLOOR  | CORP, 590  | 3. Date of Earliest T (Month/Day/Year) 11/25/2015  | ransaction  |                            | _X_ Director<br>Officer (give t<br>below)  |   | Owner er (specify                                     |  |
| NEW YORK, N   |  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |   |                            |  |   |   |  |
| (City)  | (State) (Zip)  | Table I - Non-   | Derivative Secu   | rities Acq                 | uired, Disposed of,  | or Beneficial   | ly Owned  |  |
|   | ransaction Date 2A. Do<br>onth/Day/Year) Execut<br>any<br>(Monti | ion Date, if Transacti<br>Code<br>n/Day/Year) (Instr. 8)   | 4. Securities Acquired tion(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or  V Amount (D) Price |                            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
| Common<br>Stock   |  | Code v   | Amount (D   | ) File                     | 157,782  | I   | Held by<br>various<br>related<br>trusts               |  |
| Common<br>Stock 11/   | 25/2015  | P  | 10,000 A  | \$<br>7.065                | 147,778  | D   |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: PENNANTPARK INVESTMENT CORP - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474 (9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc                    | cisable and  | 7. Title    | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|----------------------------------|--------------|-------------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D                     | ate          | Amou        | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/                      | Year)        | Under       | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                                |              | Securi      | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                                  |              | (Instr.     | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |                                  |              |             |          |             | Follo  |
|             | •           |                     |                    |            | (A) or     |                                  |              |             |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |                                  |              |             |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |                                  |              |             |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                                  |              |             |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |                                  |              |             |          |             |        |
|             |             |                     |                    |            |            |                                  |              |             | Amount   |             |        |
|             |             |                     |                    |            |            |                                  |              |             | Amount   |             |        |
|             |             |                     |                    |            |            | Date Expiration Exercisable Date | Expiration   | or<br>T'd N |          |             |        |
|             |             |                     |                    |            |            |                                  | Title Number |             |          |             |        |
|             |             |                     |                    | C 1 W      | (A) (D)    |                                  |              |             | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                                  |              |             | Shares   |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Flug Jeffrey C/O PENNANTPARK INVESTMENT CORP 590 MADISON AVENUE, 15TH FLOOR NEW YORK, NY 10022

X

### **Signatures**

/s/ Jeffrey Flug 11/27/2015 \*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2