### Edgar Filing: PENNANTPARK INVESTMENT CORP - Form 4

#### PENNANTPARK INVESTMENT CORP

Form 4

November 18, 2015

	UNITED	STATES			AND EXO , D.C. 20		NGE CO	OMMISSION	OMB Number:	3235-0287		
if no lon subject t Section Form 4 c Form 5 obligation may con	obligations may continue.  See Instruction  See Instruction  See Instruction  Output  Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								burden hours per response 0.5			
Print or Type	Responses)											
1. Name and Address of Reporting Person * Efrat Aviv			Symbol PENNA		d Ticker or K INVES		Ī	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last) (First) (Middle) 590 MADISON AVENUE, 15TH FLOOR			3. Date of Earliest Transaction (Month/Day/Year) 11/16/2015					Director 10% Owner _X_ Officer (give title Other (specify below)  CFO and Treasurer				
				endment, D nth/Day/Yea	ate Origina r)	I	- -	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securi		ired, Disposed of,	or Beneficial	lv Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year)				es Acc	quired (A) D) ) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	11/16/2015			P	10,000	A	\$ 6.5032	68,103	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**OMB APPROVAL** 

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1. Title of Derivative	2.	3. Transaction Date (Month/Day/Year)		4.	5. orNumber	6. Date Exercises Expiration D		7. Titl		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	execution Date, if any (Month/Day/Year)	Code (Instr. 8)	of Derivativ Securities Acquired (A) or	of (Month/Day/Ye Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		Amou Under Secur (Instr.	rlying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Efrat Aviv 590 MADISON AVENUE, 15TH FLOOR NEW YORK, NY 10022

CFO and Treasurer

## **Signatures**

/s/ Aviv Efrat 11/17/2015

\*\*Signature of Person Date

\*\*Begorting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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