Emerson David Todd Form 3 September 06, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CREE INC [CREE] A Emerson David Todd (Month/Day/Year) 08/31/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O CREE, INC., 4600 (Check all applicable) SILICON DRIVE (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer \_ Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting EVP, GM LED Person DURHAM, NCÂ 27703 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â **COMMON STOCK** 113,222 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Beneficial
		(Instr. 4)	Price of	Derivative	Ownership
	Date Exercisable	Title	Derivative	Security:	(Instr. 5)
			Security	Direct (D)	

#### Edgar Filing: Emerson David Todd - Form 3

	Expiration Date			Amount or Number of Shares		or Indirect (I) (Instr. 5)	
NONQUALIFIED STOCK OPTION (RIGHT TO BUY)	09/01/2014(1)	09/01/2018	COMMON STOCK	30,000	\$ 30.92	D	Â
NONQUALIFIED STOCK OPTION (RIGHT TO BUY)	09/04/2015(2)	09/04/2019	COMMON STOCK	40,000	\$ 27.77	D	Â
NONQUALIFIED STOCK OPTION (RIGHT TO BUY)	09/03/2016(3)	09/03/2020	COMMON STOCK	9,000	\$ 54.6	D	Â
NONQUALIFIED STOCK OPTION (RIGHT TO BUY)	09/02/2017(4)	09/02/2021	COMMON STOCK	9,000	\$ 45.13	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Emerson David Todd C/O CREE, INC., 4600 SILICON DRIVE DURHAM. NC 27703	Â	Â	EVP, GM LED	Â		

## **Signatures**

Bradley D. Kohn as agent for David T.

Emerson

09/06/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option vested as to 10,000 shares on each September 1, 2012, September 1, 2013 and September 1, 2014.
- (2) Option vested as to 13,334 shares on September 4, 2013, and as to 13,333 on September 4, 2014 and September 4, 2015.
- (3) Option vested as to 3,000 shares on each September 3, 2014, September 3, 2015 and September 3, 2016.
- (4) Option vested as to 3,000 shares on each September 2, 2015, September 2, 2016 and September 2, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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