

Edgar Filing: LAPENTA ROBERT V - Form SC 13G

LAPENTA ROBERT V
Form SC 13G
February 14, 2003

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934
(Amendment No. _____) (1)

L-3 Communications Holdings, Inc.

(Name of Issuer)

Common Stock

(Title of Class of Securities)

502 424 104

(CUSIP Number)

December 31, 2002

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

(1) The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

Page 1 of 6

Edgar Filing: LAPENTA ROBERT V - Form SC 13G

1. NAME OF REPORTING PERSONS
I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Robert V. LaPenta

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*
(a)
(b)

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION

United States

NUMBER OF 5. SOLE VOTING POWER
SHARES 5,134,514

BENEFICIALLY 6. SHARED VOTING POWER
OWNED BY 0

EACH 7. SOLE DISPOSITIVE POWER
REPORTING 4,677,514

PERSON 8. SHARED DISPOSITIVE POWER
WITH 457,000

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

5,134,514

10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

5.34%

12. TYPE OF REPORTING PERSON*

IN

Edgar Filing: LAPENTA ROBERT V - Form SC 13G

Item 1(a). Name of Issuer:

L-3 Communications Holdings, Inc.

Item 1(b). Address of Issuer's Principal Executive Offices:

600 Third Avenue, New York, NY 10016

Item 2(a). Name of Person Filing:

Robert V. LaPenta

Item 2(b). Address of Principal Business Office or, if None, Residence:

600 Third Avenue, New York, NY 10016

Item 2(c). Citizenship:

United States

Item 2(d). Title of Class of Securities:

Common Stock, par value \$.01 per share

Item 2(e). CUSIP Number:

502 424 104

Item 3. If this Statement is Filed Pursuant to Rule 13d-1(b), or 13d-2(b) or (c), Check Whether the Person Filing is a:

- (a) Broker or dealer registered under Section 15 of the Exchange Act.
- (b) Bank as defined in section 3(a)(6) of the Exchange Act.
- (c) Insurance company as defined in Section 3(a)(19) of the Exchange Act.
- (d) Investment company registered under Section 8 of the Investment Company Act.
- (e) An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f) An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F);
- (g) A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G);

Page 3 of 6

- (h) A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act;

Edgar Filing: LAPENTA ROBERT V - Form SC 13G

- (i) A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act;
- (j) Group, in accordance with Rule 13d-1(b)(1)(ii)(J).

Item 4. Ownership.

(a) Amount beneficially owned:

5,134,514 shares

(b) Percent of class:

5.34%

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote:

5,134,514

(ii) Shared power to vote or to direct the vote:

0

(iii) Sole power to dispose or to direct the disposition of:

4,677,514

(iv) Shared power to dispose or to direct the disposition of:

457,000

Item 5. Ownership of Five Percent or Less of a Class

Not applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

As of December 31, 2002, 457,000 shares of the identified class of securities were subject to hedging arrangements with a broker termed "variable prepaid forward

Page 4 of 6

agreements." One such agreement, with respect to 157,000 shares, expired on January 15, 2003 and another such agreement, with respect to 300,000 shares, is scheduled to expire on April 21, 2003. Effective as of February 5, 2003, Mr. LaPenta entered into an additional variable prepaid forward agreement with respect to 300,000 shares, which is scheduled to expire on May 5, 2004.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company.

Not applicable.

Edgar Filing: LAPENTA ROBERT V - Form SC 13G

Item 8. Identification and Classification of Members of the Group.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

Item 10. Certifications.

Not applicable.

Page 5 of 6

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

By: /s/ Robert V. LaPenta

Robert V. LaPenta

Dated: February 13, 2003

Page 6 of 6