

EASTMAN KODAK CO
Form 3
July 06, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Bullwinkle David E

(Last) (First) (Middle)

EASTMAN KODAK
COMPANY,Â 343 STATE
STREET

(Street)

ROCHESTER,Â NYÂ 14650

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

07/01/2016

3. Issuer Name **and** Ticker or Trading Symbol
EASTMAN KODAK CO [KODK]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
CFO and Senior Vice President

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock, par value \$.01

7,353

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative

5. Ownership
Form of
Derivative
Security:

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	09/03/2016	09/03/2016	Common Stock, par value \$.01	2,509	\$ <u>(1)</u>	D	Â
Restricted Stock Units	Â <u>(2)</u>	09/03/2017	Common Stock, par value \$.01	1,285	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(3)</u>	12/15/2017	Common Stock, par value \$.01	1,292	\$ <u>(3)</u>	D	Â
Restricted Stock Units	Â <u>(4)</u>	09/03/2018	Common Stock, par value \$.01	3,329	\$ <u>(4)</u>	D	Â
Stock Option (Right to Buy)	Â <u>(5)</u>	09/03/2021	Common Stock, par value \$.01	5,805	\$ 23.78	D	Â
Stock Option (Right to Buy)	Â <u>(6)</u>	12/15/2021	Common Stock, par value \$.01	5,349	\$ 20.25	D	Â
Stock Option (Right to Buy)	Â <u>(7)</u>	09/03/2022	Common Stock, par value \$.01	7,965	\$ 13.76	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bullwinkle David E EASTMAN KODAK COMPANY 343 STATE STREET ROCHESTER, NY 14650	Â	Â	Â CFO and Senior Vice President	Â

Signatures

/s/ Sharon E. Underberg, Attorney-in-fact for David E.
Bullwinkle

07/06/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These restricted stock units convert into common stock on a one-for-one basis.

(2)

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These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 9/3/14 grant date.

- (3) These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 12/15/14 grant date.
- (4) These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 9/3/15 grant date.
- (5) This option vests one-third on each of the first three anniversaries of the 9/3/14 grant date.
- (6) This option vests one-third on each of the first three anniversaries of the 12/15/14 grant date.
- (7) This option vests one-third on each of the first three anniversaries of the 9/3/15 grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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