#### Edgar Filing: EASTMAN KODAK CO - Form 3

#### EASTMAN KODAK CO

Form 3

January 12, 2015

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement EASTMAN KODAK CO [KODK] Cullimore Philip (Month/Day/Year) 01/01/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **EASTMAN KODAK** (Check all applicable) COMPANY, 343 STATE STREET 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Senior Vice President \_X\_ Form filed by One Reporting Person ROCHESTER. NYÂ 14650 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership

Common Stock, par value \$.01

5,823

(Instr. 4)

(Instr. 5)

(Instr. 5)

D Â

SEC 1473 (7-02)

Form:

Direct (D) or Indirect (I)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 5. 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and 4. Securities Underlying Ownership Beneficial Ownership (Instr. 4) **Expiration Date** Conversion (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common Stock, par value \$.01	11,648	\$ 0 (1)	D	Â
Restricted Stock Units	(2)	(2)	Common Stock, par value \$.01	4,206	\$ 0 (2)	D	Â
Stock Option (Right to Buy)	(3)	09/02/2021	Common Stock, par value \$.01	12,675	\$ 7.89	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Cullimore Philip						
EASTMAN KODAK COMPANY	â	â	Senior Vice President	â		
343 STATE STREET	А	А	A Sellior vice President	A		
ROCHESTER, NY 14650						

### **Signatures**

/s/ Sharon E. Underberg, Attorney-in-fact for Philip Cullimore

01/12/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Except as otherwise provided in the award notice, these restricted stock units, which convert on a one-to-one basis, vest equally on 9/3/2015 and 9/3/2016, subject to Mr. Cullimore's continuous employment with the Company.
- (2) Except as otherwise provided in the award notice, these restricted stock units, which convert on a one-to-one basis, vest one-third on each of the first three anniversaries of the 9/3/2014 grant date, subject to Mr. Cullimore's continuous employment with the Company.
- (3) Except as otherwise provided in the award notice, this option vests one-third on each of the first three anniversaries of the 9/3/2014 grant date, subject to Mr. Cullimore's continuous employment with the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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