KOLB DAVID L Form 4

August 28, 2009

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

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January 31, 2005

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* KOLB DAVID L

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

MOHAWK INDUSTRIES INC [MHK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

160 SOUTH INDUSTRIAL

3. Date of Earliest Transaction

(Month/Day/Year) 08/27/2009

\_X\_\_ Director 10% Owner Officer (give title Other (specify

BLVD., P.O. BOX 12069

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CALHOUN, GA 30703

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/27/2009		Code V X/K(1)	Amount 100,000	(D)	Price \$ 49.27	(Instr. 3 and 4) 402,740	D	
Common Stock	08/28/2009		P/K(1)	100,000	D	\$ 69.84	302,740	D	
Common Stock							1,750	I	Kolb Foundation
Common Stock							369	I	Kolb Holdings, LP
							4,820	I	

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Common Stock			Minor Children
Common Stock	721	I	by Managed Account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title an Underlyin (Instr. 3 ar
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Call Option (obligation to sell)	\$ 118.7891	08/27/2009		E/K(1)	100,000	08/27/2009	08/27/2009	Commo Stock
Put Option (right to sell)	\$ 69.84	08/27/2009		X/K(1)	100,000	08/27/2009	08/27/2009	Commo Stock
Non-Qualified Stock Option (right to buy)	\$ 23.3281					<u>(2)</u>	01/01/2011	Commo Stock
Non-Qualified Stock Option (right to buy)	\$ 38.7275					(2)	01/01/2012	Commo Stock
Non-Qualified Stock Option (right to buy)	\$ 57.155					(2)	01/01/2013	Commo Stock
Non-Qualified Stock Option (right to buy)	\$ 61.3325					(2)	01/01/2014	Commo Stock
Non-Qualified Stock Option (right to buy)	\$ 75.095					01/01/2008(2)	01/01/2017	Commo Stock
Non-Qualified Stock Option	\$ 81.4					01/01/2006(2)	01/01/2015	Commo Stock

(right to buy)

Non-Qualified

Stock Option \$83.1175 01/01/2007(2) 01/01/2016

(right to buy)

Phantom Stock \$ 0 

(3) (4) Commo Stock

Commo

Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KOLB DAVID L 160 SOUTH INDUSTRIAL BLVD. P.O. BOX 12069 CALHOUN, GA 30703

X

# **Signatures**

DAVID L. 08/28/2009 KOLB

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- In connection with the settlement of a put option entered into on August 27, 2007, as part of a zero-cost collar arrangement, the reporting (1) person received, for each share of stock subject to the option, a cash amount equal to the excess of the exercise price of \$69.84 over the market price of \$49.27 per share. On the same date, the related call option expired unexercised.
- (2) SHARES ARE EXERCISABLE ONE YEAR AFTER DATE OF GRANT AT 20% PER YEAR.
- (3) To be paid in cash or stock upon termination as a director.
- (4) To be paid in cash or stock upon termination as a director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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