

Edgar Filing: Pioneer Floating Rate Trust - Form N-PX

Pioneer Floating Rate Trust  
Form N-PX  
August 16, 2018

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM N-PX  
ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANIES

Investment Company Act file number 811-21654

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Pioneer Floating Rate Trust

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(Exact name of registrant as specified in charter)

60 State Street Boston, MA 02109

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(Address of principal executive offices)

Terrence J. Cullen  
60 State Street  
Boston, MA 02109

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(Name and address of agent for service)

Registrant's telephone number, including area code: (617) 742-7825

Date of fiscal year end: November 30

Date of reporting period: July 1, 2017 to June 30, 2018

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Pioneer Floating Rate Trust

By (Signature and Title) /s/ Lisa Jones

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Lisa Jones, Chief Executive Officer  
& President

Date: August 15, 2018

===== Floating Rate Trust =====

BLACKROCK FLOATING RATE INCOME STRATEGIES FUND, INC.

Ticker: FRA Security ID: 09255X100  
 Meeting Date: JUL 25, 2017 Meeting Type: Annual  
 Record Date: MAY 30, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Michael J. Castellano	For	For	Management
1.2	Elect Director Cynthia L. Egan	For	For	Management
1.3	Elect Director Catherine A. Lynch	For	For	Management

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 DELTA AIR LINES, INC.

Ticker: DAL Security ID: 247361702  
 Meeting Date: JUN 29, 2018 Meeting Type: Annual  
 Record Date: MAY 02, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Edward H. Bastian	For	For	Management
1b	Elect Director Francis S. Blake	For	For	Management
1c	Elect Director Daniel A. Carp	For	For	Management
1d	Elect Director Ashton B. Carter	For	For	Management
1e	Elect Director David G. DeWalt	For	For	Management
1f	Elect Director William H. Easter, III	For	For	Management
1g	Elect Director Michael P. Huerta	For	For	Management
1h	Elect Director Jeanne P. Jackson	For	For	Management
1i	Elect Director George N. Mattson	For	For	Management
1j	Elect Director Douglas R. Ralph	For	For	Management
1k	Elect Director Sergio A. L. Rial	For	For	Management
1l	Elect Director Kathy N. Waller	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

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3 Ratify Ernst & Young LLP as Auditors For For Management

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EATON VANCE FLOATING-RATE INCOME TRUST

Ticker: EFT Security ID: 278279104  
Meeting Date: APR 09, 2018 Meeting Type: Annual  
Record Date: JAN 09, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director William H. Park	For	For	Management
1b	Elect Director Susan J. Sutherland	For	For	Management
1c	Elect Director Harriett Tee Taggart	For	For	Management

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FIRST TRUST SENIOR FLOATING RATE INCOME FUND II

Ticker: FCT Security ID: 33733U108  
Meeting Date: SEP 11, 2017 Meeting Type: Annual  
Record Date: JUL 25, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard E. Erickson	For	For	Management
1.2	Elect Director Thomas R. Kadlec	For	For	Management

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INVESCO SENIOR INCOME TRUST

Ticker: VVR Security ID: 46131H107  
Meeting Date: SEP 08, 2017 Meeting Type: Annual  
Record Date: JUN 16, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a.1	Elect Director Teresa M. Ressel	For	For	Management
1a.2	Elect Director Larry Soll	For	For	Management
1a.3	Elect Director Philip A. Taylor	For	For	Management
1a.4	Elect Director Christopher L. Wilson	For	For	Management

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NRG ENERGY, INC.

Ticker: NRG Security ID: 629377508  
Meeting Date: APR 26, 2018 Meeting Type: Annual

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Record Date: MAR 01, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director E. Spencer Abraham	For	For	Management
1b	Elect Director Kirbyjon H. Caldwell - Withdrawn Resolution	None	None	Management
1c	Elect Director Matthew Carter, Jr.	For	For	Management
1d	Elect Director Lawrence S. Coben	For	For	Management
1e	Elect Director Heather Cox	For	For	Management
1f	Elect Director Terry G. Dallas	For	For	Management
1g	Elect Director Mauricio Gutierrez	For	For	Management
1h	Elect Director William E. Hantke	For	For	Management
1i	Elect Director Paul W. Hobby	For	For	Management
1j	Elect Director Anne C. Schaumburg	For	For	Management
1k	Elect Director Thomas H. Weidemeyer	For	For	Management
1l	Elect Director C. John Wilder	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify KPMG LLP as Auditors	For	For	Management
4	Report on Political Contributions	Against	Abstain	Shareholder

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SOLOCAL GROUP

Ticker: LOCAL Security ID: F8569A131  
Meeting Date: MAR 09, 2018 Meeting Type: Special  
Record Date: MAR 06, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Ratify Appointment of Pierre Danon as Director	For	For	Management
2	Ratify Appointment of Marie-Christine Levet as Director	For	For	Management
3	Approve Compensation of Pierre Danon, Chairman of the Board since Sept. 5, 2017	For	For	Management
4	Approve Compensation of Eric Boustouller, CEO since Oct.11, 2017	For	Against	Management
5	Approve Compensation of Jean-Pierre Remy, CEO until June 30, 2017	For	For	Management
6	Approve Compensation of Robert de Metz, Chairman of the Board until Sept. 5, 2017	For	For	Management
7	Approve Compensation of Christophe Pingard, Vice-CEO until Dec. 15, 2017	For	For	Management
8	Approve Remuneration Policy of CEO	For	Against	Management
9	Approve Remuneration Policy of Chairman of the Board	For	For	Management
10	Approve Termination Package of Eric	For	For	Management

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Boustouller

11	Approve Termination Package of Christophe Pingard	For	For	Management
12	Authorize up to 9,200,000 Shares for Use in Restricted Stock Plans	For	Against	Management
13	Authorize up to 1,000,000 Shares for Use in Restricted Stock Plans Reserved for Eric Boustouller, CEO	For	Against	Management
14	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 17 Million	For	For	Management
15	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 5.8 Million	For	For	Management
16	Approve Issuance of Shares up to 20 Percent of Issued Capital Per Year for a Private Placement, up to Aggregate Nominal Amount of EUR 5.8 Million	For	For	Management
17	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote under Items 14 to 16	For	For	Management
18	Authorize Capitalization of Reserves of Up to EUR 40 Million for Bonus Issue or Increase in Par Value	For	For	Management
19	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	For	Against	Management
20	Authorize Filing of Required Documents/Other Formalities	For	For	Management
21	Elect Lucile Ribot as Director	For	For	Management

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SOLOCAL GROUP

Ticker: LOCAL Security ID: F8569A131  
Meeting Date: JUN 25, 2018 Meeting Type: Annual  
Record Date: JUN 20, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Financial Statements and Statutory Reports	For	For	Management
2	Approve Consolidated Financial Statements and Statutory Reports	For	For	Management
3	Approve Allocation of Income and Absence of Dividends	For	For	Management
4	Approve Auditors' Special Report on Related-Party Transactions	For	For	Management

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- 5 Authorize Repurchase of Up to 10 For For Management  
Percent of Issued Share Capital
- 6 Authorize Filing of Required For For Management  
Documents/Other Formalities

===== END NPX REPORT