STIFEL FINANCIAL CORP Form 8-K February 11, 2004

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

## Washington, DC 20549

#### FORM 8-K

CURRENT REPORT

Pursuant To Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): February 4, 2004

#### STIFEL FINANCIAL CORP.

(Exact name of registrant as specified in its charter)

**Delaware** 

(State of incorporation)

1-9305

(Commission File Number)

43-1273600

(IRS Employer Identification No.)

One Financial Plaza 501 North Broadway

St. Louis, Missouri 63102-2102

(Address of principal executive offices, including zip code)
Registrant's telephone number, including area code (314) 342-2000
(Former name or former address, if changed since last report)

#### Item 7. Financial Statements, Pro Forma Financial Information and Exhibits.

#### (c) Exhibits

The following exhibit is furnished pursuant to Item 12 "Disclosure of Results of Operations and Financial Condition," is not to be considered "filed" under the Securities Exchange Act of 1934, as amended, ("Exchange Act") and shall not be incorporated by reference into any filing by Stifel Financial Corp. under the Securities Act of 1933, as amended, ("Securities Act") or the Exchange Act.

Exhibit 99: Unaudited Earnings Summaries for the three months and year ended December 31, 2003 and supplemental information.

#### Items 12. Disclosure of Results of Operations and Financial Condition

(a) Exhibit 99 consists of a copy of the press release issued February 4, 2004 reporting results for the fourth quarter and year ended December 31, 2003.

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: February 11, 2004

STIFEL FINANCIAL CORP.

By: /s/ James M. Zemlyak

James M. Zemlyak

Title: Chief Financial Officer