MOOG INC. Form 4 November 19, 2015

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** Number:

3235-0287

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* ROCHE PATRICK J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

MOOG INC. [MOGA/MOGB]

11/17/2015

(Check all applicable)

(Last)

(City)

1. Title of

Security

(Instr. 3)

(First) (Middle) 3. Date of Earliest Transaction

Director

10% Owner

SENECA ST & JAMISOND RD

(Month/Day/Year)

X\_ Officer (give title

Other (specify below)

below)

Vice President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

EAST AURORA, NY 14052

(State) 2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership

(A)

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number Transaction of Derivative Expiration Date Securities Code

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of 8. **Underlying Securities** De (Instr. 3 and 4) Se

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquir (A) or Dispos (D) (Instr. 3 and 5)	ed of					(I
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
SAR (1)	\$ 43.42					(2)	05/20/2018	Class A Common	4,000	
SAR (1)	\$ 35.12					(3)	10/31/2018	Class A Common	4,000	
SAR (1)	\$ 26.66					<u>(4)</u>	12/01/2019	Class A Common	3,000	
SAR (1)	\$ 36.86					(5)	11/30/2020	Class A Common	4,000	
SAR (1)	\$ 41.82					<u>(6)</u>	11/30/2021	Class A Common	4,000	
SAR (1)	\$ 36.41					<u>(7)</u>	11/27/2022	Class A Common	20,500	
SAR (1)	\$ 61.69					(8)	11/11/2023	Class A Common	10,000	
SAR (1)	\$ 74.38					<u>(9)</u>	11/11/2024	Class A Common	10,000	
SAR (10)	\$ 63.04	11/17/2015	A	3,333	,	(11)	11/17/2025	Class A Common	3,333	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director	10% Owner	Officer	Other		
ROCHE PATRICK J SENECA ST & JAMISOND RD EAST AURORA, NY 14052			Vice President			

# **Signatures**

Timothy P. Balkin, as Power of Attorney for Patrick J. Roche	11/19/2015
**Signature of Reporting Person	Date

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Appreciation Rights (SAR) granted under the 2008 Stock Option Plan.
- (2) SAR exercisable as follows: 1,334 on 5/20/09, 1,333 on 5/20/10 and 1,333 on 5/20/11.
- (3) SAR exercisable as follows: 1,334 on 10/31/09, 1,333 on 10/31/10 and 1,333 on 10/31/11.
- (4) SAR exercisable as follows: 1,000 on 12/1/10, 1,000 on 12/1/11 and 1,000 on 12/1/12.
- (5) SAR exercisable as follows: 1,334 on 11/30/11, 1,333 on 11/30/12 and 1,333 on 11/30/13.
- (6) SAR exercisable as follows: 1,334 on 11/30/12, 1,333 on 11/30/13 and 1,333 on 11/30/14.
- (7) SAR is exercisable as follows: 6,834 on 11/27/2013, 6,833 on 11/27/2014 and 6,833 on 11/27/2015.
- (8) SAR is exercisable as follows: 3,334 on 11/11/2014, 3,333 on 11/11/2015 and 3,333 on 11/11/2016.
- (9) SAR is exercisable as follows: 3,334 on 11/11/2015, 3,333 on 11/11/2016 and 3,333 on 11/11/2017.
- (10) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.
- (11) SARs exercisable as follows: 1,111 on 11/17/2016, 1,111 on 11/17/2017 and 1,111 on 11/17/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.