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ERDMAN WARREN K

Form 4

February 07, 2005

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ERDMAN WARREN K Issuer Symbol KANSAS CITY SOUTHERN [KSU] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X_ Officer (give title Other (specify KANSAS CITY SOUTHERN, PO 02/03/2005 below) below) BOX 219335 Vice President (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting KANSAS CITY, MO 64121-9335 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 02/03/2005 A 211 Α \$0 9,832 (8) D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (Right to Buy) (2)	\$ 1.0204					(3)	01/22/2007	Common Stock	5,400
Limited Right (2)	\$ 1.0204					(2)	(2)	Common Stock	5,400
Option (Right to Buy) (2)	\$ 1.7861					<u>(4)</u>	01/27/2008	Common Stock	12,501
Limited Right (2)	\$ 1.7861					(2)	(2)	Common Stock	12,501
Option (Right to Buy) (2)	\$ 2.6604					01/01/2000	11/16/2008	Common Stock	3,000
LSAR (2)	\$ 2.6604					(2)	(2)	Common Stock	3,000
Option (Right to Buy) (2)	\$ 2.6604					(5)	11/16/2008	Common Stock	87
LSAR (2)	\$ 2.6604					(2)	(2)	Common Stock	87
Option (Right to Buy) (2)	\$ 2.7665					(5)	01/25/2009	Common Stock	72
LSAR (2)	\$ 2.7665					(2)	(2)	Common Stock	72
Option (Right to Buy) (2)	\$ 5.1637					<u>(6)</u>	02/28/2010	Common Stock	71
LSAR (2)	\$ 5.1637					<u>(2)</u>	<u>(2)</u>		71

8. Pri Deriv Secur (Instr

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				Common Stock	
Option (Right to Buy) (2)	\$ 5.75	07/13/2003	07/12/2010	Common Stock	15,400
LSAR (2)	\$ 5.75	(2)	(2)	Common Stock	15,400
Option (Right to Buy) (2)	\$ 5.75	07/13/2001	07/12/2010	Common Stock	77,000
LSAR (2)	\$ 5.75	(2)	(2)	Common Stock	77,000
Option (Right to Buy) (2)	\$ 14.34	<u>(7)</u>	02/26/2011	Common Stock	480
LSAR (2)	\$ 14.34	(2)	(2)	Common Stock	480
Option (Right to Buy) (2)	\$ 13.42	02/06/2002	02/05/2012	Common Stock	513
LSAR (2)	\$ 13.42	(2)	(2)	Common Stock	513
Option (Right to Buy) (2)	\$ 12.55	01/16/2003	01/15/2013	Common Stock	670
LSAR (2)	\$ 12.55	<u>(2)</u>	(2)	Common Stock	670
Option (Right to Buy) (2)	\$ 12.55	01/16/2008	01/15/2013	Common Stock	15,000
LSAR (2)	\$ 12.55	(2)	(2)	Common Stock	15,000
Option (Right to Buy) (2)	\$ 14.6	01/02/2005	01/01/2014	Common Stock	6,000
LSAR (2)	\$ 14.6	(2)	(2)	Common Stock	6,000
Option (Right to Buy) (2)	\$ 14.53	02/09/2004	02/08/2014	Common Stock	699
LSAR (2)	\$ 14.53	(2)	(2)	Common Stock	699

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ERDMAN WARREN K

KANSAS CITY SOUTHERN Vice
PO BOX 219335 President
KANSAS CITY, MO 64121-9335

Signatures

Julie D. Powell, Attorney-in-fact 02/07/2005

**Signature of Reporting Person Dat

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 926 shares have accrued to my account under the Company's employee stock ownership plan.
 - Limited Rights and Limited Stock Appreciation Rights ("LSARs") are granted in tandem with stock options. Limited Rights and LSARs
- (2) become exercisable only following a change-in-control of the Company in lieu of related options and are exercisable only for cash. Limited Rights and LSARs terminate when the related options are exercised or terminated.
- (3) 2,700 options became exercisable on 01/23/99 and 2,700 options became exercisable on 01/23/00.
- (4) Became exercisable when the KCS Common stock price reached defined benchmark levels that were maintained or exceeded for 30 consecutive trading days.
- (5) These options became exercisable in installments: 25% on 06/23/99, 25% on 06/23/00 and 50% on 06/23/01.
- (6) These options became exercisable in installments: 25% on 03/01/00, 25% on 06/23/00 and 50% on 06/23/01.
- (7) 240 options became exercisable on 02/27/01 and 240 options became exercisable on 06/23/01.
- (8) Includes 1,333 shares acquired through the KCS employee stock purchase plan on December 31, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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