GORDAN JOHN D III

Form 5

February 07, 2019

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4 30(h) of the Investment Company Act of 1940

Transactions

Reported

1. Name and Address of Reporting Person *

GORDAN JOHN D III

2. Issuer Name and Ticker or Trading
Symbol

GENERAL AMERICAN
INVESTORS CO INC [GAM]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/2018 2. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 2/31/

C/O GENERAL AMERICAN INVESTORS COMPANY, 530 FIFTH AVE - 26TH FLOOR

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting

Filed(Month/Day/Year)

(check applicable line)

NEW YORK, NYÂ 10036

_X_Form Filed by One Reporting Person __Form Filed by More than One Reporting Person

Person

(City)	(State)	(Zip) Tab	le I - Non-Dei	rivative Se	ecuriti	es Acquire	d, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi (A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	Ownership Form:	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/28/2018	Â	<u>J(1)</u>	951	A	\$ 30.155	13,688	D	Â
Common Stock	12/28/2018	Â	<u>J(1)</u>	298	A	\$ 30.155	4,289	I (2)	By Spouse
5.95% Preferred Stock	Â	Â	Â	Â	Â	Â	1,000	D	Â

Edgar Filing: GORDAN JOHN D III - Form 5

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

of D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative	6. Date Exerc Expiration Day/ (Month/Day/	ate	7. Title Amount Underly Securiti	t of ying	8. Price of Derivative Security (Instr. 5)	
	Derivative Security			Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			(Instr. 3	3 and 4)		
				(A) (D)	Date Exercisable	Expiration Date	Title N	Number		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GORDAN JOHN D III C/O GENERAL AMERICAN INVESTORS COMPANY 530 FIFTH AVE - 26TH FLOOR NEW YORK, NYÂ 10036

X Â Â Â

Signatures

/s/John D. Gordan III 02/07/2019

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares received in payment of dividends.
- (2) Held by wife, Catherine M.S. Gordan. I disclaim any beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2