

EMC INSURANCE GROUP INC
Form 4
September 09, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HOFFMANN RICHARD W

2. Issuer Name and Ticker or Trading Symbol
EMC INSURANCE GROUP INC
[EMCI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
717 S. 25TH CT
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/07/2016

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
V.P., Gen. Counsel & Secretary

WEST DES MOINES, IA 50265
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
EMCI Common Stock	09/07/2016		M	605 A \$ 13.9867	15,348	D	
EMCI Common Stock	09/07/2016		M	229 A \$ 13.7833	15,577	D	
EMCI Common Stock	09/07/2016		M	375 A \$ 16.27	15,952	D	
EMCI Common Stock	09/07/2016		F	3,574 D \$ 27.98	12,378	D	
EMCI-Common Stock					5,437	I	Spouse

EMCI-Common Stock 135 I Note 1 ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
NQO Right To Buy	\$ 13.9867	09/07/2016		M	605	03/01/2013 ⁽²⁾ 03/01/2022	Common Stock	605
NQO Right To Buy	\$ 13.7833	09/07/2016		M	229	03/01/2011 ⁽²⁾ 03/01/2020	Common Stock	229
NQO Right To Buy	\$ 16.27	09/07/2016		M	375	03/01/2012 ⁽²⁾ 03/01/2021	Common Stock	375

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOFFMANN RICHARD W 717 S. 25TH CT WEST DES MOINES, IA 50265			V.P., Gen. Counsel & Secretary	

Signatures

Richard W. Hoffmann 09/09/2016

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Indirect beneficial ownership: spouse as custodian for son under U.T.M.A (Iowa)
- (2) Options vest in five equal annual installments (20%)beginning one year after date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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