Sayed Ayman Form 4 August 14, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2. Issuer Name and Ticker or Trading

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sayed Ayman

520 MADISON AVENUE

(First)

(Street)

(Middle)

Symbol CA, INC. [CA]

3. Date of Earliest Transaction

(Month/Day/Year) 08/10/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

Pres., Chief Products Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### NEW YORK, NY 10022

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
a			Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common Stock, \$.10 par value	08/10/2018		F	15,575	D	\$ 43.38	89,514	D			
Common Stock, \$.10 par value	08/10/2018		M	18,693 (1)	A	\$ 29.47	108,207	D			
Common Stock, \$.10 par value	08/10/2018		S	18,693 (1)	D	\$ 43.274 (2)	89,514	D			

#### Edgar Filing: Sayed Ayman - Form 4

Common Stock, \$.10 par value	08/13/2018	S	15,869 (1)	D	\$ 43.412 (3)	73,645	D	
Common Stock, \$0.10 par value						112.953	I	401(k) Plan (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

<ol> <li>Title of</li> </ol>	2.	3. Transaction Date	3A. Deemed	4. 5. Number of		6. Date Exercisable and		7. Title and Amount of		
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative			Expiration Date		Underlying Securities	
Security	or Exercise		any	Code	Code Securities		(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acqu	uired (A)	•	ŕ	•	Í
·	Derivative		` '		or Disposed of					
	Security				(D)					
	Security				(Instr. 3, 4,					
					and 5)					
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
_						\ <i>'</i>				
Employee Stock Option (right to buy)	\$ 29.47	08/10/2018		M		18,693 (1)	<u>(5)</u>	08/10/2025	Common Stock, \$.10 par value	18,693

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
·F····	Director	10% Owner	Officer	Other			
Sayed Ayman							
520 MADISON AVENUE			Pres., Chief Products Officer				
NEW YORK NY 10022							

# **Signatures**

/s/ Ayman Sayed by David R. Goldman as attorney-in-fact 08/14/2018

\*\*Signature of Reporting Person Date

Reporting Owners 2

Edgar Filing: Sayed Ayman - Form 4

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March (1)14, 2018.
- Indicates the weighted average sale price of multiple sales effected on the transaction date. Sale prices ranged from \$43.250 to \$43.360, (2) inclusive. The Reporting Person will provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- Indicates the weighted average sale price of multiple sales effected on the transaction date. Sale prices ranged from \$43.380 to \$43.450,
- (3) inclusive. The Reporting Person will provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) Shares held in the CA Savings Harvest Plan, a 401(k) Plan.
- The option became exercisable over three years as follows: 34% on August 10, 2016, 33% on August 10, 2017 and 33% on August 10,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.