

Lamm Jacob  
Form 4  
March 13, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Lamm Jacob

(Last) (First) (Middle)

520 MADISON AVENUE

(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
CA, INC. [CA]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/09/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
EVP, STRATEGY & CORPORATE DEV

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$.10 par value	03/09/2018		M	25,744 (1)	A \$ 30.45 71,223	D	
Common Stock, \$.10 par value	03/09/2018		M	22,379 (1)	A \$ 26.98 93,602	D	
Common Stock, \$.10 par value	03/09/2018		M	29,487 (1)	A \$ 28.69 123,089	D	

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Common Stock, \$.10 par value	03/09/2018	S	77,610 (1)	D	\$ 36.774 (2)	45,479	D	
Common Stock, \$.10 par value						892.943	I	401(k) Plan (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 30.45	03/09/2018		M		25,744 <u>(1)</u>		<u>(4)</u>	05/29/2025	Common Stock, \$.10 par value	25,744
Employee Stock Option (right to buy)	\$ 26.98	03/09/2018		M		22,379 <u>(1)</u>		<u>(5)</u>	05/13/2023	Common Stock, \$.10 par value	22,379
Employee Stock Option (right to buy)	\$ 28.69	03/09/2018		M		29,487 <u>(1)</u>		<u>(6)</u>	06/02/2024	Common Stock, \$.10 par value	29,487

## Reporting Owners

Reporting Owner Name / Address Relationships

Reporting Owners

## Edgar Filing: Lamm Jacob - Form 4

Director    10% Owner    Officer

Other

Lamm Jacob  
520 MADISON AVENUE  
NEW YORK, NY 10022

EVP, STRATEGY & CORPORATE DEV

## Signatures

/s/ Jacob Lamm by David R. Goldman as  
attorney-in-fact

03/13/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.  
Indicates the weighted average sale price of multiple sales effected on the transaction date. Sale prices ranged from \$36.31 to \$37.12,
- (2) inclusive. The Reporting Person will provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (3) Shares held in the CA Savings Harvest Plan, a 401(k) Plan.
- (4) This option becomes exercisable over a three-year period as follows: 34% on May 29, 2016, 33% on May 29, 2017 and 33% on May 29, 2018.
- (5) This option became exercisable over a three-year period as follows: 34% on May 13, 2014, 33% on May 13, 2015 and 33% on May 13, 2016.
- (6) This option became exercisable over a three-year period as follows: 34% on June 2, 2015, 33% on June 2, 2016 and 33% on June 2, 2017.

### Remarks:

Exhibit List Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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