GLAXOSMITHKLINE PLC Form 6-K May 10, 2018

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

For period ending 10 May 2018

GlaxoSmithKline plc (Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS (Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F

Form 20-F x Form 40-F

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Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes No x

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to anris.

Date: 10 May 2018

Name of applicant: GlaxoSmithKline plc

GlaxoSmithKline plc 2009 Deferred Name of scheme:

Annual Bonus Plan

Period of return: From: 1 November 2017 To: 30 April 2018

Balance of unallotted

securities under scheme(s) from previous 199,082

return: Plus:

The amount by which the block scheme(s) has been increased since the 0 date of the last return (if any increase has been

applied for):

Less:

Number of securities

165,219 issued/allotted under

scheme(s) during period

(see LR3.5.7G):

Equals:

Balance under scheme(s) 33,863

not yet issued/allotted at

end of period:

Victoria Name of contact: Whyte

020 8047

Telephone number of contact: 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to anris.

Date: 10 May 2018

Name of applicant: GlaxoSmithKline plc

GlaxoSmithKline Share Option Plan -Name of scheme:

Ordinary Shares

Period of return: From: 1 November 2017 To: 30 April 2018

Balance of unallotted

securities under scheme(s) from previous 3,559,772

return: Plus:

The amount by which the block scheme(s) has been increased since the 0 date of the last return (if any increase has been

applied for):

Less:

Number of

securities

865,821

issued/allotted under scheme(s) during period

(see LR3.5.7G):

Equals:

Balance under scheme(s)2,693,951

not yet issued/allotted at

end of period:

Victoria Name of contact: Whyte

020 8047

Telephone number of contact: 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to anris.

Date: 10 May 2018

Name of applicant: GlaxoSmithKline plc

GlaxoSmithKline Share Option Plan -Name of scheme:

ADS

Period of return: From: 1 November 2017 To: 30 April 2018

Balance of unallotted

securities under scheme(s) from previous 8,185,120

return:

Plus:

The amount by which the block scheme(s) has been increased since the 0 date of the last return (if any increase has been

applied for):

Less:

Number of

securities 275,126

issued/allotted under scheme(s) during period

(see LR3.5.7G):

Equals:

Balance under scheme(s)7,909,994 not yet issued/allotted at

end of period:

Victoria Name of contact: Whyte

020 8047

Telephone number of contact: 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to anris.

Date: 10 May 2018

Name of scheme:

Name of applicant: GlaxoSmithKline plc

GlaxoSmithKline plc Share Save Plan

2012

Period of return: From: 1 November 2017 To: 30 April 2018

Balance of unallotted

securities under scheme(s) from previous 1,542,070

return:

Plus:

The amount by which the block scheme(s) has been increased since the 0

date of the last return (if any increase has been

applied for):

Less: 714,764

Number of securities

issued/allotted under scheme(s) during period

(see LR3.5.7G):

Equals:

Balance under scheme(s) 827,306 not yet issued/allotted at

end of period:

Name of contact:

Victoria Whyte 020 8047

Telephone number of contact:

5000

The following GlaxoSmithKline plc Employee Share Schemes have expired and therefore will no longer be included in Block Listing Six Monthly Returns:

- 1. Glaxo Wellcome UK Share Option Scheme
- 2. Glaxo Wellcome International Share Option Scheme
- 3. Glaxo Wellcome 1999 Share Option Plan
- 4. SmithKline Beecham 1989 Executive Share Option Plan Approved Ordinary Shares
- 5. SmithKline Beecham 1989 Executive Share Option Plan Unapproved ADS
- 6. SmithKline Beecham 1989 Executive Share Option Plan Unapproved Ordinary

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc (Registrant)

Date: May 10, 2018

By: VICTORIA WHYTE

Victoria Whyte Authorised Signatory for and on behalf of GlaxoSmithKline plc