

HOWARD DOUGLAS S

Form 5/A

March 05, 2018

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549Check this box if
no longer subject
to Section 16.Form 4 or Form
5 obligations
may continue.See Instruction
1(b).Form 3 Holdings
Reported
Form 4
Transactions
Reported**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362Expires: January 31,
2005Estimated average
burden hours per
response... 1.01. Name and Address of Reporting Person *
HOWARD DOUGLAS S2. Issuer Name and Ticker or Trading
Symbol
PEOPLES BANCORP OF NORTH
CAROLINA INC [PEBK]5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2017☐ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

518 WEST C STREET

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)
02/12/2018

6. Individual or Joint/Group Reporting

(check applicable line)

NEWTON, NC 28658

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
common stock	01/31/2017	Â	L	103	A \$ 26.5	17,994.0677	D Â
common stock	03/01/2017	Â	L	48	A \$ 27.75	17,994.0677	D Â
common stock	04/27/2017	Â	L	56	A \$ 30.1362	17,994.0677	D Â
common stock	05/01/2017	Â	L	74	A \$ 28.9599	17,994.0677	D Â
	06/20/2017	Â	L	6.0273	A \$ 32.25	17,994.0677	D Â

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common stock										
common stock	07/27/2017	Â	L	111	A	\$ 38.5978	17,994.0677	D	Â	
common stock	09/01/2017	Â	L	39	A	\$ 30.4999	17,994.0677	D	Â	
common stock	09/19/2017	Â	L	5.868	A	\$ 33.25	17,994.0677	D	Â	
common stock	10/26/2017	Â	L	73	A	\$ 33.9621	17,994.0677	D	Â	
common stock	11/02/2017	Â	L	73	A	\$ 33.9333	17,994.0677	D	Â	
common stock	12/19/2017	Â	L	6.1191	A	\$ 32	17,994.0677	D	Â	
common stock	12/15/2017	Â	J ⁽¹⁾	163.1749	A	\$ 0 ⁽¹⁾	17,994.0677	D	Â	
common stock	12/15/2017	Â	J ⁽¹⁾	834.025	A	\$ 0 ⁽¹⁾	17,994.0677	D	Â	
common stock	Â	Â	Â	Â	Â	Â	495	I		shares owned by spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of Derivative Securities (Instr. 5)	10. Amount or Number of Shares
					(A) (D)	Date Exercisable Expiration Date	Title			

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOWARD DOUGLAS S 518 WEST C STREET NEWTON, NC 28658	Â	Â	Â	Â

Signatures

/s/ Douglas S.
Howard 02/27/2018

__Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) shares acquired through 10% stock dividend

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