#### Edgar Filing: Shake Shack Inc. - Form 4

| Shake Shack Inc.         Form 4         March 02, 2016         FORM 4         UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549         Check this box if no longer subject to Section 16.         Form 4 or Form 5 obligations may continue. See Instruction    Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1940 |              |   |   |  |                            |                               |                          | <b>RSHIP OF</b><br>ct of 1934,   | OMB AP<br>OMB<br>Number:<br>Expires:<br>Estimated av<br>burden hours<br>response | •   |  |  |
|--|--------------|---|---|--|----------------------------|-------------------------------|--------------------------|--|--|---|--|--|
| 1(b).  |              |   |   |  |                            |                               |                          |  |  |   |  |  |
| (Print or Type Responses)         1. Name and Address of Reporting Person <sup>*</sup> SWINGHAMER DAVID A         Symbol         Shake Shack Inc. [SHAK]   |              |   |   |  |                            |                               |                          |  |  |   |  |  |
| (Last)   | (First) (Mie |   |   |  | Earliest Transaction       |                               |                          |  | (Check all applicable)   |   |  |  |
| (Month/Da<br>C/O SHAKE SHACK INC., 24<br>UNION SQUARE EAST, 5TH<br>FLOOR   |              |   |   |  |                            |                               |                          |  |  |   |  |  |
| Filed(Mont   |              |   |   | dment, Date Original       6. Individual or Joint/Group Filing(Check         h/Day/Year)       Applicable Line)         Form filed by One Reporting Person       Form filed by More than One Reporting |                            |                               |                          |  | on   |   |  |  |
| Person   |              |   |   |  |                            |                               | -                        |  |  |   |  |  |
| (City)   |              |   |   |  |                            |                               | _                        | d, Disposed of, o  |  |   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)   |              | ransaction Date 2A. Deemed<br>nth/Day/Year) Execution Date, if<br>any<br>(Month/Day/Year) |   |  | nor Dispos<br>(Instr. 3, 4 | ed of (<br>and d<br>(A)<br>or | 5)                       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4)       | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| CLASS A  |              |   | C | ode V  | Amount                     | (D)                           | Price                    | (  |  |   |  |  |
| COMMON<br>STOCK  | 02/29/2016   |   |   | S  | 10,000                     | D                             | \$ 42.434<br>(1) (2)     | 123,113  | Ι  | By Trust  |  |  |
| CLASS A<br>COMMON<br>STOCK   | 02/29/2016   |   |   | S  | 11,711                     | D                             | \$<br>41.4097<br>(1) (4) | 111,402  | I  | By Trust $(3)$  |  |  |
| CLASS A<br>COMMON<br>STOCK   | 02/29/2016   |   |   | S  | 8,405                      | D                             | \$ 40.628<br>(1) (5)     | 102,997  | Ι  | By Trust $(3)$  |  |  |
| CLASS A  | 03/01/2016   |   |   | S  | 15,000                     | D                             | \$ 41.303                | 87,997   | Ι  | By Trust  |  |  |

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| COMMON<br>STOCK   | <u>(1)</u> <u>(6)</u> |        |   | (3) |
|-------------------|-----------------------|--------|---|-----|
| CLASS A<br>COMMON |                       | 82,495 | D |     |
| STOCK             |                       | ,      | - |     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>ofNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | ınt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
|   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |
| SWINGHAMER DAVID A<br>C/O SHAKE SHACK INC.<br>24 UNION SQUARE EAST, 5TH FLOOR<br>NEW YORK, NY 10003 |               | Х         |         |       |  |  |
| DAVID A. SWINGHAMER GRAT<br>24 UNION SQUARE EAST, 5TH FLOOR<br>NEW YORK, NY 10003                   |               | Х         |         |       |  |  |
| Swinghamer Susan<br>2 DEVEREUX COURT<br>RYE, NY 10580   |               | Х         |         |       |  |  |

# Signatures

| /s/ David A. Swinghamer  | 03/02/2016 |
|--|------------|
| **Signature of Reporting Person                                  | Date       |
| /s/ Susan Swinghamer   | 03/02/2016 |
| **Signature of Reporting Person                                  | Date       |
| /s/ Susan Swinghamer, as Trustee of the David A. Swinghamer GRAT | 03/02/2016 |
| **Signature of Reporting Person                                  | Date       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) This transaction was executed in multiple trades at prices ranging from \$42.00 to \$42.77. The price reported above reflects the weighted average sale price.
- Held directly by the David A. Swinghamer GRAT, of which Susan Swinghamer, the reporting person's wife, is the trustee and(3) beneficiary. The Reporting Person disclaims beneficial ownership of the shares of Class A Stock reported herein, except to the extent of his pecuniary interest therein.
- (4) This transaction was executed in multiple trades at prices ranging from \$41.00 to \$41.95. The price reported above reflects the weighted average sale price.
- (5) This transaction was executed in multiple trades at prices ranging from \$40.51 to \$40.97. The price reported above reflects the weighted average sale price.
- (6) This transaction was executed in multiple trades at prices ranging from \$41.20 to \$41.82. The price reported above reflects the weighted average sale price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.