Axovant Sciences Ltd. Form 8-K April 18, 2019

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 13, 2019

Axovant Gene Therapies Ltd. (Exact name of registrant as specified in its charter)

Bermuda 001-37418 98-1333697
(State or other jurisdiction of incorporation) File Number) Identification No.)
Suite 1, 3rd Floor
11-12 St. James's Square
London SW1Y 4LB, United Kingdom
(Address of principal executive offices) (Zip Code)

(Registrant's telephone number, including area code): +44 203 318 9708

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligations of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ... Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter):

Emerging growth company ý

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. \circ

Edgar Filing: Axovant Sciences Ltd. - Form 8-K

Item Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers;
 5.02 Compensatory Arrangements of Certain Officers.

On April 13, 2019, Roger Jeffs, Ph.D. notified Axovant Gene Therapies, Ltd. (the "Company") of his decision not to stand for re-election to the Company's Board of Directors at the Company's 2019 annual meeting of shareholders. Dr. Jeffs' decision not to stand for re-election was not due to any disagreement with the Company on any matter relating to the Company's operations, policies or practices.

Edgar Filing: Axovant Sciences Ltd. - Form 8-K

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Axovant Gene Therapies Ltd.

Date: April 18, 2019 By: /s/ Mathew C. Bazley

Name: Mathew C. Bazley Title: General Counsel