Edgar Filing: Breen Timothy E. - Form 4

| Breen Timoth Form 4 | iy E. | | | | | | | | | | |
|---|--------------------------------------|---------------------------------|-----------------------|---|--|---|------------|--|--|---|--|
| July 30, 2018 | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | | OMB APPROVAL | | |
| Check this | box | | Was | hington, | D.C. 205 | 549 | | | Number: | 3235-0287 | |
| if no longer | | | | IANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | Expires: January 31 200 Estimated average burden hours per response 0. | | |
| Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| Breen Timothy E. Symbol | | | | Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) (| Middle) | | Earliest Tra | - | 10] | | (Chee | ck all applicable | e) | |
| | | | | h/Day/Year) | | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | |
| (Street) 4. If Amen | | | ndment, Date Original | | | 6. Individual or Joint/Group Filing(Check | | | | | |
| Filed(Mont SAN ANTONIO, TX 78216 | | | | onth/Day/Year) | | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Table | I Non D | anivativa C | | tion A a | | f on Donoficial | lle Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year | te 2A. Deen Execution any | | 3. Transactio Code (Instr. 8) | 4. Securit onAcquired Disposed (Instr. 3, | ties (A) o of (D | or)) | quired, Disposed o 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 07/27/2018 | | | А | 6,835 | А | <u>(1)</u> | 6,835 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | ınt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | |
|---|------------|---------------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Breen Timothy E. 9601 MCALLISTER FREEWAY, SUIT SUITE 610 SAN ANTONIO, TX 78216 | E 610 X | | | | | | |
| Signatures | | | | | | | |
| /s/ Jon C. Biro, Power of Attorney | 07/30/2018 | | | | | | |
| **Signature of Reporting Person | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These restricted stock units were issued pursuant to the Harte-Hanks, Inc. 2013 Omnibus Incentive Plan, and vest in three equal annual installments. Each restricted stock unit represents a contingent right to receive one share of Issuer's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.