Edgar Filing: Lal Shirish R - Form 4

Lal Shirish R Form 4 February 02, 2	2018									
FORM	4 UNITE	D STATES					NGE (COMMISSION	ОМВ	PPROVAL 3235-0287
Check this if no longe	Washington, D.C. 20549					NERSHIP OF	Number: Expires:	January 31, 2005		
subject to Section 16. Form 4 or								Estimated a burden hou response	irs per	
Form 5 obligation may contin <i>See</i> Instruct 1(b).	s Section 1	7(a) of the		ility Hold	ing Com	pany	Act o	ge Act of 1934, if 1935 or Sectio 40	·	
(Print or Type R	esponses)									
1. Name and Ac Lal Shirish R	2. Issuer Name and Ticker or Trading Symbol HARTE HANKS INC [HHS]				g	5. Relationship of Reporting Person(s) to Issuer				
(Last)	3. Date of Earliest Transaction (Month/Day/Year)					(Check all applicable)				
9601 MCAL FREEWAY,	02/01/2018					X_Officer (give title Other (specify below) below) Chief Operating Officer & CTO				
(Street) 4. If Amendmen Filed(Month/Day					e Original			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 		
SAN ANTO	NIO, TX 7821	.6						Form filed by M Person	More than One Ro	eporting
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ar) Executio any		3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	l (A) o l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial
Common Stock	02/01/2018			F	4,912 (1)	D	\$ 0	1,632 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Lal Shirish R 9601 MCALLISTER FREEWAY SUITE 610 SAN ANTONIO, TX 78216			Chief Operating Officer & CTO					
Signatures								
/s/ Robert L. R. Munden, Power of Attorney	f 02/02/2018							
**Signature of Reporting Person		Date	e					

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- These shares of restricted stock were issued pursuant to an employment inducement restricted stock agreement and were forfeited prior to vesting upon the Reporting Person's resignation of employment. The share amounts reflect the effect of the Issuer's 1-for-10 reverse stock split effective January 31, 2018.
- (2) The share amounts reflect the effect of the Issuer's 1-for-10 reverse stock split effective January 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.