

PROVIDENT FINANCIAL SERVICES INC
 Form 4
 March 03, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KUNTZ JOHN F

2. Issuer Name and Ticker or Trading Symbol
PROVIDENT FINANCIAL SERVICES INC [PFS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
239 WASHINGTON STREET
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
03/02/2016

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
 EVP, General Counsel, Secretary

JERSEY CITY, NJ 07302

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 ____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 03/02/2016 | | M | 10,000 (1) A \$ 18.48 | 75,186 | D | |
| Common Stock | 03/02/2016 | | S | 8,400 D \$ 18.83 | 66,786 | D | |
| Common Stock | 03/02/2016 | | S | 400 D \$ 18.85 | 66,386 | D | |
| Common Stock | 03/02/2016 | | S | 1,200 D \$ 18.87 | 65,186 | D | |
| Common Stock | | | | | 2,096 (2) | I | By 401(k) |

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| | | | |
|--------------|-----------------------|---|---------------|
| Common Stock | 15,143 ⁽²⁾ | I | By ESOP |
| Common Stock | 500 | I | By Wife's IRA |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Stock Options | \$ 18.48 | 03/02/2016 | | M | 10,000 ⁽¹⁾ | 03/23/2007 03/23/2016 | Common Stock 10,000 ⁽¹⁾ |
| Stock Options | \$ 10.4 | | | | | 02/03/2010 02/03/2019 | Common Stock 7,780 ⁽³⁾ |
| Stock Options | \$ 18.87 | | | | | 09/21/2007 09/21/2016 | Common Stock 10,000 ⁽³⁾ |
| Stock Options | \$ 10.4 | | | | | 02/03/2012 02/03/2019 | Common Stock 2,324 ⁽³⁾ |
| Stock Options | \$ 10.34 | | | | | 03/04/2013 03/04/2023 | Common Stock 13,403 ⁽³⁾ |
| Stock Options | \$ 17.94 | | | | | 01/29/2008 01/29/2017 | Common Stock 10,698 ⁽³⁾ |
| Stock Options | \$ 12.54 | | | | | 01/29/2009 01/29/2018 | Common Stock 5,640 ⁽³⁾ |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other
EVP, General Counsel, Secretary

KUNTZ JOHN F
239 WASHINGTON STREET
JERSEY CITY, NJ 07302

Signatures

/s/ Leonard G. Gleason, Pursuant to Power of
Attorney

03/03/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock options expiring on March 23, 2016.
 - (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
 - (3) Stock options have fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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