FOSTER L B CO Form 4 October 14, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

vasnington, D.C. 20549

Expires: January 31, 2005

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*\bigsep\text{Vizi Bradley}

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Syml

Symbol FOSTER L B CO [FSTR]

10/12/2016

(Check all applicable)

(Last) (First)

(Middle)

(Zin)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director \_\_\_\_X\_\_ 10% Owner \_\_\_\_ Officer (give title \_\_\_\_\_ Other (specify

9401 WILSHIRE BLVD., SUITE

(Street)

(State)

705

(City)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_\_\_\_ Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

below)

#### BEVERLY HILLS, CA 90212

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (1)	10/12/2016		P	499 (3)	A	\$ 12	801,708	I	Legion Partners, L.P. I (2)
Common Stock (1)	10/13/2016		P	7,559 (3)	A	\$ 11.99	809,267	I	Legion Partners, L.P. I (2)
Common Stock (1)	10/14/2016		P	5,339 (3)	A	\$ 11.98	814,606	I	Legion Partners, L.P. I (2)
Common Stock (1)							108,856	I	Legion Partners, L.P.

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			II <u>(4)</u>
Common Stock (1)	318,861	I	Legion Partners Special Opportunities L.P. II (5)
Common Stock (1)	8,179	I	Legion Partners Asset Management, LLC (6)
Common Stock	1,145	D (7)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title	of 2.	3. Transaction Date	3A. Deemed	4.	5.		6. Date Exerc	cisable and	7. Tit	le and	8. Price of	
Derivat	tive Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionN	lumber	Expiration D	ate	Amou	unt of	Derivative	
Securit	y or Exercise		any	Code	0	f	(Month/Day/	Year)	Unde	rlying	Security	
(Instr. 3	3) Price of		(Month/Day/Year)	(Instr. 8	8) D	<b>D</b> erivative	e		Secur	rities	(Instr. 5)	
	Derivative				S	ecurities			(Instr	. 3 and 4)		
	Security				A	cquired						
					( <i>I</i>	A) or						
					D	Disposed						
					0	f (D)						
					(I	Instr. 3,						
					4.	, and 5)						
										Amount		
							Date	Expiration	m	or		
							Exercisable	Date	Title	Number		
										of		
				Code	V (	A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Vizi Bradley 9401 WILSHIRE BLVD. SUITE 705 BEVERLY HILLS, CA 90212	X	X				
Legion Partners, L.P. I 9401 WILSHIRE BLVD.	X	X				

Reporting Owners 2

X

X

**SUITE 705** 

BEVERLY HILLS, CA 90212

Legion Partners, L.P. II

9401 WILSHIRE BLVD.

**SUITE 705** 

BEVERLY HILLS, CA 90212

Legion Partners Special Opportunities, L.P. II

9401 WILSHIRE BLVD.

X X **SUITE 705** 

BEVERLY HILLS, CA 90212

Legion Partners, LLC

9401 WILSHIRE BLVD., SUITE 705 X X

BEVERLY HILLS, CA 90212

Legion Partners Asset Management, LLC

9401 WILSHIRE BLVD.

X X **SUITE 705** 

BEVERLY HILLS, CA 90212

Legion Partners Holdings, LLC

X X 9401 WILSHIRE BLVD., SUITE 705

BEVERLY HILLS, CA 90212

Kiper Christopher S

9401 WILSHIRE BLVD. X X **SUITE 705** 

BEVERLY HILLS, CA 90212

White Raymond T.

9401 WILSHIRE BLVD. X

**SUITE 705** 

BEVERLY HILLS, CA 90212

# **Signatures**

/s/ Bradley S. Vizi	10/14/2016
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X

\*\*Signature of Reporting Person Date

Legion Partners, L.P. I, By: Legion Partners Asset Management, LLC, By: /s/ Bradley S. 10/14/2016 Vizi, Managing Member

> \*\*Signature of Reporting Person Date

Legion Partners, L.P. II, By: Legion Partners Asset Management, LLC, By: /s/ Bradley S. 10/14/2016 Vizi, Managing Member

> \*\*Signature of Reporting Person Date

> > 10/14/2016

Legion Partners Special Opportunities, L.P. II, By: Legion Partners Asset Management, LLC, By: /s/ Bradley S. Vizi, Managing Member

> \*\*Signature of Reporting Person Date

Legion Partners, LLC, By: Legion Partners Holdings, LLC, By: /s/ Bradley S. Vizi, 10/14/2016 Managing Member

> \*\*Signature of Reporting Person Date

Signatures 3 Edgar Filing: FOSTER L B CO - Form 4

Legion Partners Asset Management, LLC, By: /s/ Bradley S. Vizi, Managing Director 10/14/2016 \*\*Signature of Reporting Person Date Legion Partners Holdings, LLC, By: /s/ Bradley S. Vizi, Managing Member 10/14/2016 \*\*Signature of Reporting Person Date /s/ Christopher S. Kiper 10/14/2016 \*\*Signature of Reporting Person Date /s/ Raymond T. White 10/14/2016 \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 is filed jointly by Legion Partners, L.P. I ("Legion Partners I"), Legion Partners, L.P. II ("Legion Partners II"), Legion Partners Special Opportunities, L.P. II ("Legion Partners Special II"), Legion Partners, LLC ("General Partner"), Legion Partners Asset Management, LLC ("Legion Partners Asset Management"), Legion Partners Holdings, LLC ("Legion Partners Holdings"), Bradley S.

- Vizi, Christopher S. Kiper and Raymond T. White (collectively, the "Reporting Persons"). Each Reporting Person may be deemed to be a member of a Section 13(d) group that owns more than 10% of the Issuer's outstanding shares of Common Stock. Each Reporting Person disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein, and this report shall not be deemed to be an admission that any Reporting Person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
  - Legion Partners I directly owns these shares of common stock ("Common Stock") of L.B. Foster Company (the "Issuer"). General Partner is the general partner of Legion Partners I, Legion Partners Asset Management is the investment advisor of Legion Partners I, Legion Partners Holdings is the sole member of Legion Partners Asset Management and managing member of General Partner, and each of Messrs. Vizi, Kiper and White are managing directors of Legion Partners Asset Management and managing members of Legion Partners
- Messrs. Vizi, Kiper and White are managing directors of Legion Partners Asset Management and managing members of Legion Partners Holdings. As a result of these relationships, General Partner, Legion Partners Asset Management, Legion Partners Holdings and Messrs. Vizi, Kiper and White may be deemed to have shared voting and dispositive power over the Common Stock owned by Legion Partners I.
- (3) The transactions reported were effected pursuant to a Rule 10b5-1 plan adopted by the Reporting Persons on August 18, 2016. Accordingly, the Reporting Persons had no discretion with regard to the timing of the transactions.
  - Legion Partners II directly owns these shares of Common Stock. General Partner is the general partner of Legion Partners II, Legion Partners Asset Management is the investment advisor of Legion Partners II, Legion Partners Holdings is the sole member of Legion
- Partners Asset Management and managing member of General Partner, and each of Messrs. Vizi, Kiper and White are managing directors of Legion Partners Asset Management and managing members of Legion Partners Holdings. As a result of these relationships, General Partner, Legion Partners Asset Management, Legion Partners Holdings and Messrs. Vizi, Kiper and White may be deemed to have shared voting and dispositive power over the Common Stock owned by Legion Partners II.
- Legion Partners Special II directly owns these shares of Common Stock. General Partner is the general partner of Legion Partners Special II, Legion Partners Asset Management is the investment advisor of Legion Partners Special II, Legion Partners Holdings is the sole member of Legion Partners Asset Management and managing member of General Partner, and each of Messrs. Vizi, Kiper and White are managing directors of Legion Partners Asset Management and managing members of Legion Partners Holdings. As a result of these relationships, General Partner, Legion Partners Asset Management, Legion Partners Holdings and Messrs. Vizi, Kiper and White may be deemed to have shared voting and dispositive power over the Common Stock owned by Legion Partners Special II.
  - Legion Partners Asset Management directly owns these shares of Common Stock. Legion Partners Holdings is the sole member of Legion Partners Asset Management and each of Messrs. Vizi, Kiper and White are managing directors of Legion Partners Asset Management and
- (6) managing members of Legion Partners Holdings. As a result of these relationships, Legion Partners Holdings and Messrs. Vizi, Kiper and White may be deemed to have shared voting and dispositive power over the Common Stock owned by Legion Partners Asset Management.
- (7) Represents quarterly director cash retainer fees elected to be paid in stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.