

Johnson Kenneth Wayne
Form 4
February 12, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Johnson Kenneth Wayne

2. Issuer Name **and** Ticker or Trading
Symbol
ABRAXAS PETROLEUM CORP
[AXAS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
18803 MEISNER DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
02/08/2019

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)
Vice President - Operations

SAN ANTONIO, TX 78258

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired or Disposed of (A) or (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---|---|---|--------------------------------------|---|--|--|---|
| Abraxas Petroleum Corp Common Stock | | | | (A) or (D) | | | |
| | | | Code | V | Amount | (D) | Price |
| | | | | | 336,087 | D | |
| Abraxas Petroleum Common Stock | 02/08/2019 ⁽¹⁾ | | P | | 8,580 <u>(1)</u> | A | \$ 1.12 |
| | | | | | 39,926 | I | 401-K |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---|---|--|--------------------|---|-------------------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option | \$ 0.99 | | | | | 03/17/2010 | 03/17/2019 | Abraxas Petroleum Corp Common Stock | 11,250 |
| Employee Stock Option | \$ 1.75 | | | | | 10/05/2010 | 10/05/2019 | Abraxas Petroleum Corp Common Stock | 31,875 |
| Employee Stock Option | \$ 2.09 | | | | | 03/16/2011 | 03/16/2020 | Abraxas Petroleum Corp Common Stock | 33,000 |
| Employee Stock Option | \$ 4.72 | | | | | 03/15/2012 | 03/15/2021 | Abraxas Petroleum Corp Common Stock | 15,000 |
| Employee Stock Option | \$ 3.55 | | | | | 08/09/2012 | 08/09/2021 | Abraxas Petroleum Corp Common Stock | 25,000 |
| Employee Stock Option | \$ 3.74 | | | | | 03/08/2013 | 03/08/2022 | Abraxas Petroleum | 9,500 |

| | | | | | |
|---|---------|------------|------------|---|--------|
| Employee Stock Option | \$ 2.39 | 05/14/2014 | 05/14/2023 | Corp Common Stock | 21,500 |
| Employee Stock Option | \$ 3.15 | 03/11/2015 | 03/11/2024 | AbraXas Petroleum Corp Common Stock | 23,000 |
| Employee Stock Option | \$ 0.97 | 03/15/2017 | 03/15/2026 | AbraXas Petroleum Corp Common Stock | 77,000 |
| Performance based restricted stock | \$ 2.37 | 04/01/2021 | 04/01/2021 | AbraXas Petroleum Corp Common Stock | 27,306 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Johnson Kenneth Wayne 18803 MEISNER DRIVE SAN ANTONIO, TX 78258 | | | Vice President - Operations | |

Signatures

Kenneth W. Johnson 02/12/2019

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employer match to 401-K for the year ended December 31, 2018.

Remarks:

Error on ownership after reported transaction was not correct

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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