DOWNEY DAVID J

Form 4

October 29, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

5 D 1 (\* 1 \* CD - (\* D - ( ) (

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue.

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1 Name and Address of Departing Da

See Instruction

| 1. Name and Address of Reporting Person * DOWNEY DAVID J |               |                                    | 2. Issuer Name and Ticker or Trading Symbol FIRST BUSEY CORP /NV/ [BUSE] |  |                     |                  |  | 5. Relationship of Reporting Person(s) to Issuer                     |                                    |                                       |
|--|---------------|------------------------------------|--|--|---------------------|------------------|--|--|------------------------------------|---------------------------------------|
| (Last)   | (First)       | (Middle)                           | (Check all applicable) 3. Date of Earliest Transaction                   |  |                     |                  | le)  |  |                                    |                                       |
|  |               |                                    | (Month/Day/Year)   |  |                     |                  |  | _X_ Director 10% Owner<br>Officer (give title Other (specify below)  |                                    |                                       |
| 100 WEST UNIVERSITY 1                                    |               |                                    | 10/26/20   | 10/26/2018   |                     |                  |  |  |                                    |                                       |
| (Street) 4. If   |               |                                    |  | 4. If Amendment, Date Original   |                     |                  |  | 6. Individual or Joint/Group Filing(Check                            |                                    |                                       |
| СНАМВАЮ  | GN, IL 61820  |                                    | Filed(Mon  | th/Day/Yea   | r)                  |                  |  | Applicable Line) _X_ Form filed by Form filed by                     | y One Reporting F  More than One F |                                       |
| CHAMFAIC   | JIN, IL 01020 |                                    |  |  |                     |                  |  | Person   |                                    |                                       |
| (City)   | (State)       | (Zip)                              | Table  | e I - Non-l  | Derivative          | Secur            | ities Ac   | quired, Disposed   | of, or Beneficia                   | ally Owned                            |
| (Instr. 3) any   |               | emed<br>ion Date, if<br>/Day/Year) | 3.<br>Transact<br>Code<br>(Instr. 8)                                     | TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) |                     |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                                    |                                       |
|  |               |                                    |  | Code V   | <sup>7</sup> Amount | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       |                                    |                                       |
| Common<br>Stock  | 10/26/2018    |                                    |  | A  | 66 <u>(1)</u>       | A                | \$0  | 185,347  | D                                  |                                       |
| Common<br>Stock  |               |                                    |  |  |                     |                  |  | 79,833   | I                                  | Roth<br>IRA/Park<br>Randolph<br>& Co. |
| Common<br>Stock  |               |                                    |  |  |                     |                  |  | 471  | I                                  | Spouse                                |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

#### Edgar Filing: DOWNEY DAVID J - Form 4

# displays a currently valid OMB control

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | ransactionNumber Expiration Date ode of (Month/Day/Year) |                     |                    |                 | 8. Price<br>Derivat<br>Security<br>(Instr. 5 |  |
|---|---|---|--|--|---------------------|--------------------|-----------------|--|--|
|   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares       |  |
| Common<br>Stock                                     | \$ 22.59  |   |  |  | 06/01/2010          | 06/30/2019         | Stock<br>Option | 2,500  |  |
| Common<br>Stock                                     | \$ 13.47  |   |  |  | 06/01/2011          | 06/01/2020         | Stock<br>Option | 2,500  |  |

## **Reporting Owners**

| Reporting Owner Name / Address | Keiationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |
| DOWNEY DAVID J                 |               |           |         |       |  |  |  |
| 100 WEST UNIVERSITY            | X             |           |         |       |  |  |  |

# **Signatures**

CHAMPAIGN, IL 61820

/s/ Mary Lakey, 10/29/2018 attorney-in-fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Deletionship

Represents dividend equivalent rights accrued on Restricted Stock Units in conjunction with the payment of a cash dividend on First Busey Corporation Stock. Each dividend equivalent right is the economic equivalent of one share of First Busey Corporation Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2