

Duesenberg Mark Hugo  
Form 4  
February 22, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Duesenberg Mark Hugo

(Last) (First) (Middle)

6060 PARKLAND BOULEVARD

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

FERRO CORP [FOE]

3. Date of Earliest Transaction  
(Month/Day/Year)

02/20/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

General Counsel

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	02/20/2019		F	2,066 D	\$ 17.61 166,565	D	
Common Stock	02/20/2019		M	8,100 A	\$ 0 <sup>(1)</sup> 174,665	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying S (Instr. 3 and
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Performance Share Unit	\$ 0	02/20/2019		A	16,900	(2) 12/31/2021	Common Stock
Restricted Share Unit	\$ 0	02/20/2019		M	8,100	02/20/2017(3) 02/20/2017	Common Stock
Restricted Stock Unit	\$ 0	02/20/2019		A	6,700	02/20/2022(3) 02/20/2022	Common Stock
Stock Options (Right to Buy)	\$ 17.89	02/20/2019		A	25,900	(4) 02/20/2029	Common Stock
Performance Share Unit	\$ 0					(2) 12/31/2018	Common Stock
Performance Share Unit	\$ 0					(2) 12/31/2019	Common Stock
Performance Share Unit	\$ 0					(2) 12/31/2020	Common Stock
Phantom Shares	\$ 0 (5)					(5) (5)	Common Stock
Restricted Share Unit	\$ 0					02/18/2018(3) 02/18/2018	Common Stock
Restricted Share Unit	\$ 0					02/17/2019(3) 02/17/2019	Common Stock
Restricted Share Unit	\$ 0					02/15/2020(3) 02/15/2020	Common Stock
Restricted Share Unit	\$ 0					02/21/2021(3) 02/21/2021	Common Stock
Stock Options (Right to Buy)	\$ 22.01					(6) 02/21/2028	Common Stock
Stock Options (Right to	\$ 15.16					02/24/2012 02/24/2021	Common Stock

Buy)

Stock

Options  
(Right to  
Buy)

\$ 14.27

02/15/2018

02/15/2027

Common  
Stock

Stock

Options  
(Right to  
Buy)

\$ 13.09

02/20/2015

02/20/2024

Common  
Stock

Stock

Options  
(Right to  
Buy)

\$ 12.33

02/18/2016

02/18/2025

Common  
Stock

Stock

Options  
(Right to  
Buy)

\$ 9.6

02/17/2017

02/17/2026

Common  
Stock

Stock

Options  
(Right to  
Buy)

\$ 8.25

02/25/2011

02/25/2020

Common  
Stock

Stock

Options  
(Right to  
Buy)

\$ 6.84

02/23/2013

02/23/2022

Common  
Stock

Stock

Options  
(Right to  
Buy)

\$ 5.29

02/21/2014

02/21/2023

Common  
Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Duesenberg Mark Hugo 6060 PARKLAND BOULEVARD MAYFIELD HEIGHTS, OH 44124			General Counsel	

## Signatures

/s/ Benjamin Schlater, Group Vice President and Chief Financial Officer, by Power of Attorney

02/22/2019

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted share units convert into Common Stock on a one-to-one basis.

Performance Share Units granted as a performance award, vesting based upon degree of achievement of performance goal. At the end of

(2) the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.

(3) The date reflected above as the Date Exercisable is the scheduled vesting date of the Restricted Share Units. Once vested, settlement of the Restricted Share Units and delivery of common shares is subject to an additional two-year holding period.

(4) 33+% per year for 3 years beginning on 20-Feb-2020

(5) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.

(6) 33+% per year for 3 years beginning on 21-Feb-2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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