**KOCH C JAMES** 

Form 4

December 19, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

burden hours per

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

(Print or Type Responses)

1. Name and Add KOCH C JAM	•	ing Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol BOSTON BEER CO INC [SAM]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check an applicable)
C/O THE BOS COMPANY, O CENTER PLA	ONE DESIG	GN	(Month/Day/Year) 12/18/2018	Director 10% Owner Officer (give title Other (specify below) Chairman
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check
BOSTON, MA	A 02210		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person

#### BOSTON, MA 02210

(City)	(State)	(Zip) Table	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac Code (Instr. 8		4. Securiti n(A) or Dis (D) (Instr. 3, 4	posed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common	12/18/2018		Code $G_{\underline{1}}$	v V	Amount 16,300	(D)	Price \$ 0	84,697 (2)	D	
Class A Common	12/18/2018		G <u>(1)</u>	V	1,850	D	\$0	82,847 (2)	D	
Class A Common	12/18/2018		G <u>(1)</u>	V	16,300	A	\$0	39,300	I	By Foundation managed by Reporting Person
Class A								44,248	I	By LLC

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Common			managed by spouse
Class A Common	23,486	I	Custodian for children under UGTMA
Class A Common	5,000	I	By Trust as Trustee
Class A Common	3,656	I	By spouse as custodian for children under UGTMA
Class A Common	2,532	I	By spouse in trust for children
Reminder: Report on a separate line for each class of securities benefit	icially owned directly or indirectly.		
	Persons who respond to the coll	lection of	SEC 1474

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

information contained in this form are not

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(9-02)

Derivative Securities (Instr. 3 and 4) Security Acquired (A) or	erivative De ecurity Se	8. Price o Derivative Security	Fitle and nount of derlying	te Amo Year) Undo	6. Date Exercisa Expiration Date (Month/Day/Ye	of	4. Transaction Code	Execution Date, if any	3. Transaction Date (Month/Day/Year)	2. Conversion or Exercise	1. Title of Derivative Security
	nstr. 5) Be Ov Fo Re Tr.	(Instr. 5)				Securities Acquired	(Instr. 8)	(Month/Day/Year)			(Instr. 3)
of (D) (Instr. 3, 4, and 5)	(In					of (D) (Instr. 3,					
Date Expiration or Title Number of Code V (A) (D)  Amount or Title Number of Shares			or le Number of	- 11tie		(A) (D)					

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Chairman

KOCH C JAMES C/O THE BOSTON BEER COMPANY

Reporting Owners 2

ONE DESIGN CENTER PLACE, SUITE 850 BOSTON, MA 02210

### **Signatures**

Michael G. Andrews under POA for the benefit of Koch C. James

12/19/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This transaction is a Form 5 transaction being voluntarily reported early. On the Transaction Date, the Reporting Person gifted 16,300
- (1) shares from his direct ownership into a foundation he controls. Also on the Transaction Date, the Reporting Person gifted 1,850 shares from his direct ownership to a charitable foundation for which he exercises no control or ownership.
- (2) The shares reported include 654 shares of restricted stock subject to vesting conditions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3