

Adams Thomas E.
Form 4
October 02, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Adams Thomas E.

2. Issuer Name **and** Ticker or Trading
Symbol

CHARTER COMMUNICATIONS,
INC. /MO/ [CHTR]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

10/01/2012

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)

EVP/Field Operations

C/O CHARTER
COMMUNICATIONS, INC., 12405
POWERSCOURT DRIVE

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

ST. LOUIS, MO 63131

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|--|--|--|---|
| | | | Code | V | Amount | (D) | Price |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
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displays a currently valid OMB control
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount Underlying Security (Instr. 3 and 4) | | | | |
|---|---|---|---|---|--|--|--|---------------------------|--------------------|----------------------------|------------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of |
| Restricted Stock Units | \$ 0 | 10/01/2012 | | A | | 20,000 | | 10/01/2013 ⁽¹⁾ | ⁽²⁾ | Class A Common Stock | 20 |
| Time Vesting Stock Options | \$ 75.32 | 10/01/2012 | | A | | 33,334 | | 10/01/2013 ⁽³⁾ | 10/01/2022 | Class A Common Stock | 33 |
| Performance Vesting Stock Options | \$ 75.32 | 10/01/2012 | | A | | 16,667 | | 10/01/2013 ⁽⁴⁾ | 10/01/2022 | Class A Common Stock | 16 |
| Performance Vesting Stock Options | \$ 75.32 | 10/01/2012 | | A | | 16,667 | | 10/01/2014 ⁽⁵⁾ | 10/01/2022 | Class A Common Stock | 16 |
| Performance Vesting Stock Options | \$ 75.32 | 10/01/2012 | | A | | 16,666 | | 10/01/2015 ⁽⁶⁾ | 10/01/2022 | Class A Common Stock | 16 |
| Performance Vesting Stock Options | \$ 75.32 | 10/01/2012 | | A | | 16,666 | | 10/01/2016 ⁽⁷⁾ | 10/01/2022 | Class A Common Stock | 16 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Adams Thomas E. C/O CHARTER COMMUNICATIONS, INC. 12405 POWERSCOURT DRIVE ST. LOUIS, MO 63131 | | | EVP/Field Operations | |

Signatures

/s/ Thomas E. Adams by Paul J. Rutterer,
Attorney-in-Fact

10/02/2012

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units granted 10/01/2012 scheduled to vest in equal 25% increments on October 1, 2013, 2014, 2015 and 2016.
- (2) Not applicable.
- (3) Grant of Stock Options on 10/01/2012 under the Charter Communications, Inc. Amended and Restated 2009 Stock Incentive Plan, 25% of the aggregate grant vest at October 1, 2013, 2014, 2015 and 2016.
- (4) One-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$80 per share (average of Issuer's per share closing price on NASDAQ for any 60 consecutive trading days for any period commencing not earlier than 60 trading days prior to October 1, 2013); and, one-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$100 on or after October 1, 2013.
- (5) One-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$80 per share (average of Issuer's per share closing price on NASDAQ for any 60 consecutive trading days for any period commencing not earlier than 60 trading days prior to October 1, 2014); and, one-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$100 on or after October 1, 2014.
- (6) One-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$80 per share (average of Issuer's per share closing price on NASDAQ for any 60 consecutive trading days for any period commencing not earlier than 60 trading days prior to October 1, 2015); and, one-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$100 on or after October 1, 2015.
- (7) One-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$80 per share (average of Issuer's per share closing price on NASDAQ for any 60 consecutive trading days for any period commencing not earlier than 60 trading days prior to October 1, 2016); and, one-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$100 on or after October 1, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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