Edgar Filing: Empire State Realty Trust, Inc. - Form 4

Empire State Realty Trust, Inc. Form 4 February 20, 2015

February 20	0, 2015									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL		
	UNITED					COMMISSIO	N OMB Number:	3235-0287		
Washington, D.C. 20549Check this box if no longer subject to Section 16.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 						Expires: Estimated burden hou response	urs per			
(Print or Type	e Responses)									
1. Name and Address of Reporting Person <u>*</u> Durels Thomas P.			2. Issuer Name and Ticker or Trading Symbol Empire State Realty Trust, Inc. [ESRT]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
TRUST, II	(First) (RE STATE REAL NC., ONE GRAN L PL., 60 E. 42ND	(Mo LTY 02/ D	ate of Earliest Tr onth/Day/Year) 18/2015	ransaction		Director X Officer (gi below) Exe. VP,				
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
NEW YOI	RK, NY 10165					Person	wore than one R	eporting		
(City)	(State)	(Zip)	Table I - Non-I	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, any	3. , if Transaction Code ear) (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Re	eport on a separate line	e for each class of	f securities benef	ficially ow	ned directly o	or indirectly.				
				inforn	nation cont	pond to the colle ained in this form and unless the fo	n are not	SEC 1474 (9-02)		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

number.

displays a currently valid OMB control

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day,	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Pri Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
LTIP Units	<u>(1)</u>	02/18/2015		А	25,459	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	25,459	\$

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Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Durels Thomas P. C/O EMPIRE STATE REALTY TRUST, IN ONE GRAND CENTRAL PL., 60 E. 42ND NEW YORK, NY 10165			Exe. VP, Dir. of Leasing & Op.				
Signatures							
/s/ Salvatore Rappa as attorney-in-fact	02/20/2015						

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

Represents long term investment plan units ("LTIP Units"), a class of units of Empire State Realty OP, L.P. (the "Operating Partnership"). The LTIP Units are covertible by the reporting person, upon vesting, into an equivalent number of units of limited partnership interests ("Operating Partnership Units") of the Operating Partnership, which are redeemable by the holder for shares of Class A Common Stock of

(1) Empire State Realty Trust, Inc. (the "Issuer") on a one-for-one basis or the cash value of such shares, at the Issuer's option. The rights to convert LTIP units into Operating Partnership Units and redeem Operating Partnership Units do not have expiration dates. The LTIP Units, which vest 25% ratably over four years from the date of grant, were granted under the Empire State Realty Trust, Inc. and Empire State Realty OP, L.P. 2013 Equity Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.