

COLUMBUS MCKINNON CORP  
 Form 4  
 November 01, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Woon Eric

2. Issuer Name and Ticker or Trading Symbol  
 COLUMBUS MCKINNON CORP  
 [CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
 10/30/2013

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 Vice President - APAC

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

AMHERST, NY 14228

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
|                                 |                                      |  |                                | Code  | V   | Amount   |                                   |
| Common Stock                    |                                      |  |                                |   | 10,125 <sup>(1)</sup>   | D  |                                   |
| Common Stock                    | 10/30/2013                           |  | S                              | 610   | D   | \$ 26.55   | 9,515 <sup>(1)</sup> D            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount or Number of Shares |
| Non-Qualified Stock Options (Right to Buy) | \$ 14.8  |                                      |  |                                |   | 01/25/2013   | 01/24/2020  | Common Stock | 708                        |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24   |                                      |  |                                |   | 05/17/2011   | 05/16/2020  | Common Stock | 1,611                      |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5  |                                      |  |                                |   | 05/23/2012   | 05/22/2021  | Common Stock | 2,180                      |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.43   |                                      |  |                                |   | 05/21/2013   | 05/20/2022  | Common Stock | 5,228                      |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.95   |                                      |  |                                |   | (6)  | 05/20/2023  | Common Stock | 4,970<br>(6)               |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |                       |       |
|--|---------------|-----------|-----------------------|-------|
|  | Director      | 10% Owner | Officer               | Other |
| Woon Eric<br>140 JOHN JAMES AUDUBON PARKWAY<br>AMHERST, NY 14228 |               |           | Vice President - APAC |       |

## Signatures

Eric Woon 11/01/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 8,525 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 114 shares become fully vested and non-forfeitable on 5/17/2014, 773 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013, 2,408 units become fully vested and non-forfeitable 25% per year for four years beginning 5/21/2013, 2,356 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 2,874 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.

- (1) The reporting person exercised 708 options on 10/30/2013. 2,625 options are exercisable, subject to IRS limitations and the remaining 6,667 options become exercisable 50% per year for two years beginning 1/25/2014, if reporting person remain an employee of issuer.
- (2) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (3) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (4) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (6)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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