

CCA INDUSTRIES INC
Form 3/A
March 22, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Funston Lance T		(Month/Day/Year)	CCA INDUSTRIES INC [CAW]	
(Last)	(First)	(Middle)	09/05/2014	
C/O CAPITAL PRESERVATION HOLDINGS, LLC, Â ONE BELMONT AVENUE, SUITE 602			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	09/15/2014
BALA CYNWYD, Â PA Â 19004			<input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input checked="" type="checkbox"/> Other	<input type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input checked="" type="checkbox"/> Form filed by More than One Reporting Person
			Chief Executive Officer / (effective 1/25/16)	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Class A Common Stock	967,702	I ⁽¹⁾	By Capital Preservation Holdings, LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and Expiration Date	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect Beneficial Ownership
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(Instr. 4)	(Month/Day/Year)		Derivative Security (Instr. 4)		or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Common Stock Warrant (right to buy)	09/05/2014	09/05/2019	Common Stock	1,892,744 (2)	\$ 3.17	I (3)	By Capital Preservation Solutions, LLC

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Funston Lance T C/O CAPITAL PRESERVATION HOLDINGS, LLC ONE BELMONT AVENUE, SUITE 602 BALA CYNWYD, PA 19004	â X	â X	â Chief Executive Officer	(effective 1/25/16)
Capital Preservation Holdings, LLC ONE BELMONT AVENUE, SUITE 602 BALA CYNWYD, PA 19004	â X	â X	â Chief Executive Officer	(effective 1/25/2016)
Capital Preservation Solutions, LLC ONE BELMONT AVENUE, SUITE 602 BALA CYNWYD, PA 19004	â X	â X	â Chief Executive Officer	(effective 1/25/2016)

Signatures

/s/ Lance T. Funston	03/22/2016
**Signature of Reporting Person	Date
/s/ Lance T. Funston on behalf of Holdings	03/22/2016
**Signature of Reporting Person	Date
/s/ Lance T. Funston on behalf of Solutions	03/22/2016
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Shares are directly owned by Capital Preservation Holdings, LLC ("Holdings"). As previously reported, Lance T. Funston ("Funston"), as the managing and controlling member of Holdings, may be deemed to beneficially own shares held by Holdings (including those previously reported) and Funston disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest therein. This report provides a corrected beneficial ownership amount after giving effect to a January 21, 2016 resignation by minority members of Holdings (excluding Funston) and the distribution of all previously reported Common Stock held by Holdings to such minority members. These resignations resulted in Funston becoming the sole member of Holdings and a change in the previously reported aggregated securities held by Holdings. This change in the form of beneficial ownership of Funston's reported securities is

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exempt from Section 16 pursuant to Rule 16a-13.

- (2) The number of shares underlying the Warrant was originally reported as not exceeding twenty-four percent (24%) of the amount equal to (a) the issuer's issued and outstanding shares of Common Stock and Class A Common Stock as of each date the Warrant is exercised, less (b) in each case, the aggregate amount of shares of Common Stock previously issued upon exercise of the Warrant at a purchase price of \$3.17 per share. This report reflects corrected ownership information following the issuance of a corrected warrant agreement, which was reported by the Company on Form 8-K on February 5, 2015, to fix the number of underlying Warrant shares to the amount reported above.
- (3) The Warrant is directly owned by Capital Preservation Solutions, LLC ("Solutions"). Funston, as the sole member of Solutions, may be deemed to beneficially own the shares underlying the Warrant.

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Remarks:

Consistent with Instruction 8 of Form 3, this filing does not reiterate information that has not changed.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.