ORAN STUART I

Form 4

February 01, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

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0.5

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* ORAN STUART I

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

FCB FINANCIAL HOLDINGS.

3. Date of Earliest Transaction

(Check all applicable)

INC. [FCB]

X\_ Director 10% Owner

(Month/Day/Year)

X\_ Officer (give title Other (specify below) below) Secretary

C/O FCB FINANCIAL HOLDINGS, 01/30/2018

(Middle)

INC., 2500 WESTON ROAD,

**SUITE 300** 

(City)

(Last)

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

Reported

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

WESTON, FL 33331

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

(State)

Execution Date, if

(Month/Day/Year)

(Zip)

3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Securities Owned Following

5. Amount of Beneficially Form:

7. Nature of Indirect Ownership Beneficial Direct (D) Ownership or Indirect (Instr. 4)

(A)

(Instr. 3 and 4) Code V Amount (D) Price

(I) (Instr. 4)

Transaction(s)

D

Class A Common

Stock, par 01/30/2018 value

X 5,000 A \$ 26.18 12,104

\$.001 per share

Class A 01/30/2018  $S^{(1)}$ 

2,372 D

\$ 55.2

9,732

D

Common Stock, par value

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\$.001 per share									
Class A Common Stock, par value \$.001 per share	01/31/2018	S(2)	2,628	D	\$ 55.0908	7,104	D		
Class A Common Stock, par value \$.001 per share	01/31/2018	X	5,250	A	\$ 26.18	5,250	I	By Gregory D. Oran 1998 Trust	
Class A Common Stock, par value \$.001 per share	01/31/2018	S(4)	2,490	D	\$ 55.2	2,760	I	By Gregory D. Oran 1998 Trust	
Class A Common Stock, par value \$.001 per share	01/31/2018	S	2,760	D	\$ 54.8545 (5)	0	I	By Gregory D. Oran 1998 Trust	
Class A Common Stock, par value \$.001 per share						315	I	By Bond Street Management, LLC (6)	
Reminder: Ro	Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  SEC 1474  (9-02)								

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	nof Derivative	Expiration Date	Underlying Securities	1
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(
	Derivative				(A) or			
	Security				Disposed of			
					(D)			

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(Instr. 3, 4, and 5)

			and 3)					
			Code V (	A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Warrants (right to buy)	\$ 26.18	01/30/2018	X	5,000	<u>(7)</u>	11/12/2019	Class A Common Stock	5,000
Common Stock Warrants (right to buy)	\$ 26.18	01/31/2018	X	5,250	<u>(7)</u>	11/12/2019	Class A Common Stock	5,250
Common Stock Warrants (right to buy)	<u>(7)</u>				<u>(7)</u>	11/12/2019	Class A Common Stock	21,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
ORAN STUART I C/O FCB FINANCIAL HOLDINGS, INC. 2500 WESTON ROAD, SUITE 300 WESTON, FL 33331	X		Secretary			

### **Signatures**

/s/ Douglas Bates, as Attorney-in-Fact for Stuart Oran

ran 02/01/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On January 30, 2018, the reporting person exercised a warrant to purchase an aggregate of 5,000 shares of Class A Common Stock of FCB Financial Holdings, Inc. (the "Issuer") for \$26.18 a share. The reporting person paid the exercise price on a cashless basis, resulting in the Issuer withholding 2,372 of the warrant shares to pay the exercise price and issuing to the reporting person the remaining 2.628 shares. The Issuer also paid \$34.22 to the reporting person in lieu of fractional shares.
- (2) These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 11, 2017.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$54.90 to \$55.65, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (3) to this Form 4.

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- On January 31, 2018, the Gregory D. Oran 1998 Trust (the "Trust") exercised a warrant to purchase an aggregate of 5,250 shares of Class A Common Stock of the Issuer for \$26.18 a share. The Trust paid the exercise price on a cashless basis, resulting in the Issuer withholding 2,490 of the warrant shares to pay the exercise price and issuing to the Trust the remaining 2,760 shares. The Issuer also paid \$2.76 to the Trust in lieu of fractional shares.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$54.85 to \$54.90, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (5) to this Form 4.
- (6) This includes 315 shares of Class A Common Stock beneficially owned by the reporting person through Bond Street Management, LLC.
- Exercisable in three substantially equal portions on each of the 6-month, 18-month and 30-month anniversaries of the consummation of the initial public offering. The exercise price at the 6-month anniversary of the consummation of the initial public offering is \$24.24, the exercise price at the 18-month anniversary of the consummation of the initial public offering is \$26.18 and the exercise price at the 30-month anniversary of the consummation of the initial public offering is \$28.28.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.