

BROWN FORMAN CORP
Form 4
July 15, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Brown Owsley III

2. Issuer Name and Ticker or Trading Symbol
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
850 DIXIE HIGHWAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
05/18/2016

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

LOUISVILLE, KY 40210
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Price			
Class A Common	05/18/2016		W	V 897 A \$ 0	897	I	Owsley Brown II Estate
Class B Common	05/18/2016		W	V 611 A \$ 0	611	I	Owsley Brown II Estate
Class A Common	06/14/2016		G	V 164,780 D \$ 0	31,492	I	CLB Grandchildren Eq. Tr.
Class B Common	06/14/2016		G	V 101,880 D \$ 0	20,805	I	CLB Grandchildren Eq. Tr.
	06/14/2016		G	V 14,014 A \$ 0	14,014	I	

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Class A Common									CLB Trust fbo Chiara Brown
Class B Common	06/14/2016	G	V	10,320	A	\$ 0	10,320	I	CLB Trust fbo Chiara Brown
Class A Common	06/14/2016	G	V	13,822	A	\$ 0	13,822	I	CLB Trust fbo William Brown
Class B Common	06/14/2016	G	V	10,272	A	\$ 0	10,272	I	CLB Trust fbo William Brown
Class A Common	06/14/2016	G	V	21,239	A	\$ 0	21,239	I	CLB Trust fbo Catalina Brown
Class B Common	06/14/2016	G	V	12,127	A	\$ 0	12,127	I	CLB Trust fbo Catalina Brown
Class A Common	07/12/2016	W	V	885	A	\$ 0	885	I	OB2 Marital Trust ⁽¹⁾
Class B Common	07/12/2016	W	V	603	A	\$ 0	202,339	I	OB2 Marital Trust ⁽¹⁾
Class A Common	07/12/2016	W	V	12	A	\$ 0	12	I	OB2 Reverse QTIP Trust ⁽²⁾
Class B Common	07/12/2016	W	V	8	A	\$ 0	51,448	I	OB2 Reverse QTIP Trust ⁽²⁾
Class A Common	07/12/2016	W	V	897	D	\$ 0	0	I	Owsley Brown II Estate
Class B Common	07/12/2016	W	V	611	D	\$ 0	0	I	Owsley Brown II Estate
Class A Common							1,233	D	
Class B Common							6,703,641	I	Olympus Three LLC
Class B Common							45,671	I	CLB 2012 Trust
Class B Common							1,924	I	Trust fbo Child-2
Class A Common							10,648	I	Trust fbo Child-1
Class A Common							33,646	I	GRAT #2
Class A Common							20,439	I	Trust fbo Owsley Brown

			III
Class B Common	562	I	c/f Child-2
Class B Common	117	I	Trust fbo Child-3
Class B Common	712	I	Trust fbo Victoire Brown
Class A Common	2,290	I	CLB 2012 Trust
Class A Common	13,063	I	Woodford Partners California, LLC
Class A Common	51,873	I	GRAT #1

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 3)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
			X	

Brown Owsley III
850 DIXIE HIGHWAY
LOUISVILLE, KY 40210

Signatures

Michael E. Carr, Attorney in Fact for Owsley
Brown III

07/15/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Formerly known as the GST Non-Exempt QTIP Trust.
- (2) Formerly known as the GST Exempt QTIP Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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