

DIETRICH MARTIN A
Form 5
February 05, 2010

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
DIETRICH MARTIN A

2. Issuer Name and Ticker or Trading Symbol
NBT BANCORP INC [NBTB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2009

Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO NBT Bancorp

52 SOUTH BROAD STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

NORWICH, NY 13815

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|-----------------------------------|
| | | | | (A) or (D) Price | | | |
| NBT Bancorp Inc. Common Stock | 12/31/2009 | | J | 429.561 A | \$ 0 (1) | 51,715.766 D | |
| NBT Bancorp Inc. Common Stock | 12/31/2009 | | J | 1,084.375 A | \$ 0 (2) | 16,379.243 I | 401k |

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| | | | | | | | | | |
|-------------------------------|------------|---|---|--------|---|-------------|-----------|---|---------------------|
| NBT Bancorp Inc. Common Stock | 12/31/2009 | Â | J | 554.93 | A | \$ 0 (3) | 9,612.386 | I | NBT Bancorp ESOP |
| NBT Bancorp Inc. Common Stock | Â | Â | Â | Â | Â | Â | 755 | I | Custodian for Minor |
| NBT Bancorp Inc. Common Stock | Â | Â | Â | Â | Â | Â | 848 | I | by Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | | (A) (D) | Date Exercisable Expiration Date | Title |
| Non-Qualified Stock Option (right to buy) | \$ 14.3492 | Â | Â | Â | Â | 01/28/2003 01/28/2012 | NBT Bancorp Inc. Common Stock |
| Non-Qualified Stock Option (right to buy) | \$ 17.538 | Â | Â | Â | Â | 01/01/2004 ⁽⁴⁾ 01/01/2013 | NBT Bancorp Inc. Common Stock |
| Non-Qualified Stock Option | \$ 20.3617 | Â | Â | Â | Â | 01/15/2009 ⁽⁴⁾ 01/15/2018 | NBT Bancorp |

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| | | | | | | | | | | | |
|---|------------|---|---|---|---|---|---------------------------|------------|--|---|---|
| (right to buy) | | | | | | | | | | Inc. Common Stock | |
| Non-Qualified Stock Option (right to buy) | \$ 22.1715 | Â | Â | Â | Â | Â | 01/01/2005 ⁽⁴⁾ | 01/01/2014 | | NBT Bancorp Inc. Common Stock | 2 |
| Non-Qualified Stock Option (right to buy) | \$ 22.205 | Â | Â | Â | Â | Â | 02/11/2006 ⁽⁵⁾ | 02/11/2014 | | NBT Bancorp Inc. Common Stock | 3 |
| Non-Qualified Stock Option (right to buy) | \$ 22.352 | Â | Â | Â | Â | Â | 01/01/2007 ⁽⁴⁾ | 01/01/2016 | | NBT Bancorp Inc. Common Stock | 3 |
| Non-Qualified Stock Option (right to buy) | \$ 23.2708 | Â | Â | Â | Â | Â | 01/20/2006 ⁽⁴⁾ | 01/20/2015 | | NBT Bancorp Inc. Common Stock | 2 |
| Non-Qualified Stock Option (right to buy) | \$ 24.4458 | Â | Â | Â | Â | Â | 08/01/2007 ⁽⁵⁾ | 08/01/2015 | | NBT Bancorp Inc. Common Stock | 6 |
| Non-Qualified Stock Option (right to buy) | \$ 24.5692 | Â | Â | Â | Â | Â | 12/27/2009 ⁽⁵⁾ | 12/27/2017 | | NBT Bancorp Inc. Common Stock | 1 |
| Non-Qualified Stock Option (right to buy) | \$ 25.38 | Â | Â | Â | Â | Â | 01/15/2010 ⁽⁶⁾ | 01/15/2019 | | NBT Bancorp Inc. Common Stock | 2 |
| Non-Qualified Stock Option (right to buy) | \$ 25.762 | Â | Â | Â | Â | Â | 01/01/2008 ⁽⁴⁾ | 01/01/2017 | | NBT Bancorp Inc. Common Stock | 2 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| DIETRICH MARTIN A 52 SOUTH BROAD STREET NORWICH, NY 13815 | X | A | A | A |

Signatures

By: Michael J. Chewens, Power of Attorney For: Martin A Dietrich 02/05/2010

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired under NBT Bancorp Dividend Reinvestment Plan during period of January 1, 2009 to December 31, 2009 at prices ranging from \$19.393 to \$22.7850 per share.
- (2) Shares were purchased from 1/1/2009 through 12/31/2009 through NBT Bancorp Inc. 401k Plan.
- (3) Shares were purchased from 1/1/2009 through 12/31/2009 through NBT Bancorp Inc. ESOP Plan.
- (4) Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan grant vests 40% for first year, 20% annually for following years.
- (5) Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan reload grant vests 100% two years after date of its grant.
- (6) Pursuant to NBT Bancorp Inc. 2009 Omnibus Stock Option Agreement the grant vests 40% for first year, 20% annually for following years.

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