#### Edgar Filing: FIRST TRUST ENHANCED EQUITY INCOME FUND - Form 4

#### FIRST TRUST ENHANCED EQUITY INCOME FUND

Form 4 June 18, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* KLASSMAN ERIN E

(First)

FIRST TRUST ADVISORS

FIRST TRUST ENHANCED **EQUITY INCOME FUND [FFA]** 

3. Date of Earliest Transaction

06/09/2014

L.P., 120 EAST LIBERTY DRIVE (Street)

(Zip)

(Middle)

WHEATON, IL 60187

2. Issuer Name and Ticker or Trading

Symbol

(Month/Day/Year)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line)

below)

Issuer

Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Assistant Secretary

10% Owner

Other (specify

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(City)

1. Title of

Security

(Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(State)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5) (A)

or

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed

1. Title of Derivative Conversion

5. (Month/Day/Year) Execution Date, if TransactionNumber

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of

8. Price of 9. Nu Derivative Deriv

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Security	or Exercise	any	Code	of	(Month/Day/	Year)	Underlying	Security
(Instr. 3)	Price of	(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities	(Instr. 5)
	Derivative Security			Securities Acquired			(Instr. 3 and 4)	
				(A) or				]
				Disposed				ŗ
				of (D)			(	
				(Instr. 3,				
				4, and 5)				
			C = V	(A) (D)	D.	Е	TT: 41 A	,
			Code V	(A) (D)	Date	*	Title Amour	nt
					Exercisable	Date	or	
							Numbe	er
							of	
							Shares	

# **Reporting Owners**

Director 10% Owner Officer Other

KLASSMAN ERIN E FIRST TRUST ADVISORS L.P. 120 EAST LIBERTY DRIVE WHEATON, IL 60187

Assistant Secretary

# **Signatures**

/s/ Erin E.

Klassman 06/18/2014

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### **Remarks:**

Individual's position reclassified and is not a Section 16 officer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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