Edgar Filing: Oak Valley Bancorp - Form 4

Form 4	Ĩ											
November 16									OMB AI	PPROVAL		
FORM	UNITED	STATES		ITIES A hington,			NGE (COMMISSION		3235-0287		
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation may contin <i>See</i> Instruct 1(b).	Filed pu s. Section 17	rsuant to S (a) of the l	Section 16 Public Ut	SECUR	ITIES e Securiti ling Com	ies Ez ipany	xchang Act of	NERSHIP OF ge Act of 1934, f 1935 or Sectio 40	Expires: Estimated a burden hou response n	•		
(Print or Type R	esponses)											
Douton Don			Symbol	Name and			g	5. Relationship of Reporting Person(s) to Issuer				
				ley Banco	• -	_Y]		(Chec	k all applicable	e)		
(Last) 125 NORTH	(First) (THIRD AVEN	(Middle) IUE	3. Date of (Month/Da 11/16/20	-	ansaction			X Director Officer (give below)	title 0th below)	Owner er (specify		
	(Street)			ndment, Dat th/Day/Year)	-			6. Individual or Jo Applicable Line) _X_ Form filed by 0	One Reporting Pe	erson		
OAKDALE,	CA 95361							Form filed by M Person	Iore than One Re	eporting		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acc	quired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year) Executio any		3. Transactic Code (Instr. 8) Code V		4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	11/16/2016			P	2,000	A	\$ 11.6	25,000	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	of	er ative ities red sed 3,		ate	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) ((D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
Barton Don 125 NORTH THIRD AVENUE OAKDALE, CA 95361	Х			
Signatures				
/s/ Don Barton 11/1	6/2016			
<u>**</u> Signature of E Reporting Person	Date			

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.