Edgar Filing: NATURAL HEALTH TRENDS CORP - Form 4

NATURAL HEALTH TRENDS CORP

UN

Form 4 April 10, 2015

FORM 4

OMB APPROVAL

NITED STATES SECURITIES AND EXCHANGE COMMISSION								
Washington, D.C. 20549								

OMB

Check this box if no longer subject to Section 16. Form 4 or

3235-0287 Number: January 31, Expires:

2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **BROADY GEORGE K**

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

NATURAL HEALTH TRENDS CORP [NHTC]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

X_ Director X 10% Owner Other (specify Officer (give title below)

C/O NATURAL HEALTH TRENDS 04/08/2015

(Zip)

(Month/Day/Year)

CORP., 4514 COLE AVE., SUITE

(Street)

1400

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

DALLAS, TX 75205

(City)

(Instr. 3)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year)

(State)

3. Execution Date, if Transactionr Disposed of (D) Code

(Instr. 8)

Code V

4. Securities Acquired (A) 5. Amount of (Instr. 3, 4 and 5)

Securities Beneficially Owned Following

7. Nature of Indirect Ownership Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

(A)

Amount

(D) Price

Reported (I) Transaction(s) (Instr. 4)

I

(Instr. 3 and 4)

See footnote

04/08/2015 Stock

X 87,997 A

663,295 3.5043

one (1)

Common Stock

Common

3,157,972 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	any Code Securities (Month/Day/Year) (Instr. 8) Acquired (A)		onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		tiorDerivative Securities (a) Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Warrant (right to buy)	\$ 3.5043	04/08/2015		X	8	37,997	(2)	04/21/2015	Common Stock	87,997				

Reporting Owners

Reporting Owner Name / Address	Relationships					
, a c 8 c a c a c a c a c a c a c a c a c	Director	10% Owner	Officer	Other		
BROADY GEORGE K C/O NATURAL HEALTH TRENDS CORP. 4514 COLE AVE., SUITE 1400 DALLAS, TX 75205	X	X				

Signatures

/s/ Timothy S. Davidson by Power of Attorney

04/10/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Warrant exercised and shares received by the George K. Broady 2012 Irrevocable Trust, of which Mr. Broady is the trustee and a beneficiary.
- (2) Warrant is currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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