### Edgar Filing: GAUT C CHRISTOPHER - Form 5

#### **GAUT C CHRISTOPHER**

Form 5

February 12, 2019

#### **OMB APPROVAL** FORM 5 **OMB**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number: January 31, Expires: 2005

3235-0362

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4

Transactions Reported

1. Name and Address of Reporting Person * GAUT C CHRISTOPHER			2. Issuer Name and Ticker or Trading Symbol FORUM ENERGY	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)  920 MEMOF WAY, SUI		(Middle)	TECHNOLOGIES, INC. [FET]  3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  12/31/2018	_X_ Director 10% Owner _X_ Officer (give title Other (specify below) CEO & COB			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting  (check applicable line)			
ПОПЕТОИ	â TV â 7700	24					

### HOUSTON, A TXA 77024

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)	(State) (	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi (A) or D (D) (Instr. 3,	4 and (A) or	d of	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	06/30/2018	Â	A	760 (1)	A	\$ 10.5	1,421,240	D	Â	
Common Stock	12/31/2018	Â	A	1,032 (1)	A	\$ 3.51	1,422,272	D	Â	
Common Stock	Â	Â	Â	Â	Â	Â	230,913	I	See Footnote (2)	
	Â	Â	Â	Â	Â	Â	230,913	I		

Common See Stock Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

> of D

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GAUT C CHRISTOPHER							
920 MEMORIAL CITY WAY	â v	â	CEO & COB	â			
SUITE 1000	АЛ	А	A CEO & COB	A			
HOUSTON, TX 77024							

## **Signatures**

/s/ C. Christopher Gaut by John C. Ivascu as Attorney-in-Fact 02/12/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported securities were acquired under the Forum Energy Technologies, Inc. Employee Stock Purchase Plan in exempt transactions under both Rule 16b-3(d) and Rule 16b-3(c).

These securities are held in trusts for the benefit of the reporting person. The reporting person is the trustee of the trusts. The reporting person disclaims beneficial ownership of the reported securities except to the extent of any pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

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These securities are held in trusts for the benefit of the reporting person's spouse. The reporting person's spouse is the trustee of the trusts.

The reporting person disclaims beneficial ownership of the reported securities except to the extent of any pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.