

Firsthand Technology Value Fund, Inc.

Form 4

March 06, 2014

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Bulldog Investors General  
Partnership

(Last) (First) (Middle)

PARK 80 WEST - PLAZA  
TWO, 250 PEHLE AVE., SUITE  
708

(Street)

SADDLE BROOK, NJ 07663

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
Firsthand Technology Value Fund,  
Inc. [SVVC]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/04/2014

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_X\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
\_\_X\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	02/26/2014		P		277	A \$ 23.6889	981,869	D	<u>(1)</u> <u>(2)</u>
Common Stock	03/04/2014		P		12,146	A \$ 23.6955	994,015	D	<u>(1)</u> <u>(2)</u>
Common Stock	03/05/2014		P		43,328	A \$ 23.3088	1,037,343	D	<u>(1)</u> <u>(2)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not**

SEC 1474  
(9-02)

required to respond unless the form displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 10)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bulldog Investors General Partnership PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
Opportunity Income Plus LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
OPPORTUNITY PARTNERS LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
Calapasas West Partners LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
Full Value Special Situations Fund LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
		X		

Full Value Offshore Fund, Ltd.  
PARK 80 WEST - PLAZA TWO  
250 PEHLE AVE., SUITE 708  
SADDLE BROOK, NJ 07663

FULL VALUE PARTNERS LP  
PARK 80 WEST - PLAZA TWO  
250 PEHLE AVE., SUITE 708  
SADDLE BROOK, NJ 07663

MCM Opportunity Partners LP  
PARK 80 WEST - PLAZA TWO  
250 PEHLE AVE., SUITE 708  
SADDLE BROOK, NJ 07663

STEADY GAIN PARTNERS LP  
PARK 80 WEST - PLAZA TWO  
250 PEHLE AVE., SUITE 708  
SADDLE BROOK, NJ 07663

MERCURY PARTNERS L P  
PARK 80 WEST - PLAZA TWO  
250 PEHLE AVE., SUITE 708  
SADDLE BROOK, NJ 07663

## Signatures

/s/ Phillip Goldstein - Manager of the Managing General Partner - Bulldog Investors General Partnership 03/06/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Opportunity Income Plus, LP 03/06/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Opportunity Partners, LP 03/06/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Calapasas West Partners, LP 03/06/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Full Value Special Situations Fund, LP 03/06/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Full Value Offshore Fund, Ltd. 03/06/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Full Value Partners, LP 03/06/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - MCM Opportunity Partners, LP 03/06/2014

\_\_Signature of Reporting Person Date

/s/ Barry Swidler - Member of the General Partner - Steady Gain Partners, LP 03/06/2014

\_\_Signature of Reporting Person Date

/s/ Glenn Goodstein - Member of the General Partner - Mercury Partners, LP

03/06/2014

\_\_\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares are held by Bulldog Investors General Partnership.

Certain of such shares are also held indirectly by Opportunity Partners, LP, Calapasas West Partners, LP, Full Value Special Situations Fund, LP, Full Value Offshore Fund, Ltd., Full Value Partners, LP, MCM Opportunity Partners, LP, Steady Gain Partners, LP, and  
(2) Mercury Partners, LP (the "Funds"). Each Fund disclaims beneficial ownership of such shares, except to the extent of its pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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