

Duke Energy CORP
Form 3
April 12, 2006

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>HAUSER DAVID L</p> <p>(Last) (First) (Middle)</p> <p>526 S. CHURCH STREET</p> <p>(Street)</p> <p>CHARLOTTE, NC 28202</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>04/03/2006</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>Duke Energy CORP [DUK]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Grp Exec & CFO</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
--	---	--	--	---	---

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock ⁽¹⁾	210	D	HA
Common Stock ⁽¹⁾	192	I	By Spouse
Common Stock ⁽¹⁾	24,399	I	By Trustee, Retirement Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect Beneficial
---------------------------------	---	--	---------------	--------------	----------------------------------

Edgar Filing: Duke Energy CORP - Form 3

(Instr. 4)	Date Exercisable	Expiration Date	Derivative Security (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)
LTIP Phantom Stock Grant Feb 2004 ⁽¹⁾	Â ⁽²⁾	Â ⁽³⁾	Common Stock	12,636	\$ ⁽⁴⁾	D	Â
LTIP Phantom Stock Grant Feb 2005 ⁽¹⁾	Â ⁽²⁾	Â ⁽³⁾	Common Stock	18,968	\$ ⁽⁴⁾	D	Â
Phantom Stock (Chairman's Award August 1999) ⁽¹⁾	08/18/2006 ⁽⁵⁾	Â ⁽³⁾	Common Stock	12,000	\$ ⁽⁴⁾	D	Â
Phantom Stock ESP I ⁽¹⁾	Â ⁽⁶⁾	Â ⁽³⁾	Common Stock	30,920	\$ ⁽⁴⁾	I	By Trustee, Executive Savings Plan I
Phantom Stock ESP II ⁽¹⁾	Â ⁽⁷⁾	Â ⁽³⁾	Common Stock	15,837	\$ ⁽⁴⁾	I	By Trustee, Executive Savings Plan II
Stock Option (Right to Buy) ⁽¹⁾	02/17/2002	02/17/2008	Common Stock	18,600	\$ 27.63	D	Â
Stock Option (Right to Buy) ⁽¹⁾	02/17/2003	02/17/2009	Common Stock	31,800	\$ 29.66	D	Â
Stock Option (Right to Buy) ⁽¹⁾	12/20/2003	12/20/2009	Common Stock	37,800	\$ 24.88	D	Â
Stock Option (Right to Buy) ⁽¹⁾	12/20/2004	12/20/2010	Common Stock	31,200	\$ 42.81	D	Â
Stock Option (Right to Buy) ⁽¹⁾	12/19/2005	12/19/2011	Common Stock	32,500	\$ 37.68	D	Â
Stock Option (Right to Buy) ⁽¹⁾	01/17/2002	01/17/2012	Common Stock	4,700	\$ 38.33	D	Â
Stock Option (Right to Buy) ⁽¹⁾	01/28/2003	01/28/2013	Common Stock	5,400	\$ 17.1	D	Â
Stock Option (Right to Buy) ⁽¹⁾	Â ⁽⁸⁾	02/25/2013	Common Stock	34,200	\$ 13.77	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HAUSER DAVID L 526 S. CHURCH STREET CHARLOTTE, NC 28202	Â	Â	Â Grp Exec & CFO	Â

Signatures

By: Judy Z. Mayo,
Attorney-in-fact for

04/12/2006

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On April 3, 2006, Duke Power Company, LLC, formerly known as Duke Energy Corporation (Predecessor), merged into a wholly-owned subsidiary of Duke Energy Corporation, formerly known as Duke Energy Holding Corp. (Issuer). In connection with the merger, each share of Predecessor's common stock was converted into the right to receive one share of Issuer's common stock.
- (1) The phantom stock vests in 5 equal annual installments beginning on the first anniversary of the grant date.
 - (2) Expiration date not applicable.
 - (3) Converts to Common Stock on a 1-for-1 basis.
 - (4) May vest earlier upon achievement of specified total shareholder return.
 - (5) Payable upon termination of employment or occurrence of other events as specified in the Executive Savings Plan I.
 - (6) Payable upon termination of employment or occurrence of other events as specified in the Executive Savings Plan II, subject to holding periods required by law.
 - (7) Final installment of one-fourth of the original grant vests on February 25, 2007; remainder fully vested as of February 25, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.